

Ernane Antônio Alves Coelho, *Member, IEEE*, Dan Wu, Josep M. Guerrero, *Fellow, IEEE*, Juan C. Vasquez, *Senior Member, IEEE*, Tomislav Dragičević, *Member, IEEE*, Čedomir Stefanović, *Member, IEEE*, and Petar Popovski, *Fellow, IEEE*

Abstract—This paper presents a small-signal analysis of 7 8 an islanded microgrid composed of two or more voltagesource inverters connected in parallel. The primary control 9 10 of each inverter is integrated through an internal current and 11 voltage loops using proportional resonant compensators, a virtual impedance, and an external power controller based 12 on frequency and voltage droops. The frequency restora-13 14 tion function is implemented at the secondary control level, 15 which executes a consensus algorithm that consists of a load-frequency control and a single time delay commu-16 17 nication network. The consensus network consists of a time-invariant directed graph and the output power of each 18 inverter is the information shared among the units, which is 19 20 affected by the time delay. The proposed small-signal model is validated through simulation results and experimental re-21 sults. A root locus analysis is presented that shows the 22 23 behavior of the system considering control parameters and time delay variation. 24

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Index Terms—Delay differential equations (DDEs), fre quency and voltage droop control, secondary control,
 small-signal analysis.

I. INTRODUCTION

THE growth in the applicability of the microgrid systems 29 is a recent phenomenon, which consist of distributed sys-30 tems where the sources and the loads are placed locally [1]. The 31 hierarchical control of a microgrid can be organized in three lev-32 els, primary, secondary, and tertiary control as presented in [2]. 33 The primary control level, based on the droop control method, 34 provides the power sharing between units, but it applies the 35 voltage and frequency deviations according to the load demand. 36 Then, the functions of the voltage regulation and the frequency 37

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E. A. A. Coelho is with the Universidade Federal de Uberlândia, Uberlândia 38400-902, Brazil (e-mail: ernane@ufu.br).

D. Wu, J. M. Guerrero, J. C. Vasquez, and T. Dragicevic are with the Department of Energy Technology, Aalborg University, DK-9220, Aalborg East, Denmark (e-mail: dwu@et.aau.dk; joz@et.aau.dk; juq@ et.aau.dk; tdr@et.aau.dk).

C. Stefanovic and P. Popovski are with the Department of Electronic Systems, Aalborg University, DK-9220, Aalborg East, Denmark (e-mail: cs@es.aau.dk; petarp@es.aau.dk).

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restoration, which need communication to operate, must be implemented at a secondary control level [2]–[4]. The tertiary control manages the power flow between the microgrid and the grid, considering the grid-connected operation. 41

Several strategies for frequency and voltage restoration ap-42 plied to the microgrid systems have been proposed [4]-[6]. In 43 order to increase the system reliability by the addition of re-44 dundancy, the decentralized controller is preferred over the cen-45 tralized one [4]. The secondary control can incorporates yet the 46 cooperative characteristic, where each distributed source acts as 47 an agent, which operates together with other agents to achieve 48 a common goal. 49

In [7], one notes that the distributed secondary control 50 presents an improved performance, considering the commu-51 nication latency, when compared with the central secondary 52 control. The impact of communication delays on the secondary 53 frequency control in an islanded microgrid is shown in [8]. How-54 ever, in this case, the frequency restoration is implemented in 55 a centralized controller using a proportional integral compen-56 sator. In [6], robust control strategies for frequency restoration 57 are implemented considering a variable and unknown time de-58 lay in data communication, but in this approach, a centralized 59 secondary control is used, and additionally, the system control 60 incorporates a phase locked loop to obtain the frequency at the 61 bus loading. 62

The time delay effect on the system's stability has been the 63 topic of investigation in several engineering applications by the 64 use of delay differential equations (DDE). The spectrum analysis 65 of DDE is more complicated than that of ordinary differential 66 equations (ODE). The analytical solution is only possible in 67 simple cases, where numerical approaches are used for practical 68 systems [9], [10]. In these cases, the effect of the time delays on 69 power system stability is presented. 70

This paper presents a small-signal modeling of a micro-71 grid system operating in an islanded mode, which presents a 72 distributed control divided into primary and secondary levels. 73 Frequency restoration based on a consensus algorithm is im-74 plemented in the secondary control level, which uses a specific 75 control law and a data network. This data network presents a 76 single time delay and its topology can be described using the 77 graph theory. 78

The contribution of this paper is in its presentation of an 79 approach for building a DDE model for a microgrid with a 80

single load bus, which allows for stability studies, taking into
consideration the secondary and primary control parameters, the
data network topology, and the communication time delay.

84 The rest of the paper is organized as follows. Section II presents the system control scheme. The proposed small-signal 85 model of the system is presented in Section III. In order to 86 validate the proposed model, simulation and experimental re-87 sults are presented in Section IV. Section V shows that it is a 88 simple task to extend the model over to a system with more 89 90 inverter units. Details about a communication system with constant time delay and the packet loss are presented in Section VI. 91 Section VII presents the conclusion of this study. 92

II. CONTROL SCHEME

The complete scheme of the microgrid considered in this study is presented in Fig. 1. The microgrid is composed of an arbitrary number of inverter units. Each unit presents a hierarchical control, which integrates the inner control, the primary control, and the secondary control [2].

99 The inner control is composed of a current loop and an exter-100 nal voltage loop. In both the loops, proportional resonant (PR) controllers are used in $\alpha - \beta$ reference, considering the ideal 101 function represented by (1), where k_r is the proportional gain, 102 $k_{\rm res}$ is the resonant gain, and ω is the frequency of the res-103 onant pole, which in this case is equal to the grid frequency. 104 105 To keep the resonant pole over the system frequency, the frequency reference provided by the primary control is used for 106 frequency tracking 107

$$G_{\rm PR} = k_r + k_{\rm res} \frac{s}{s^2 + \omega^2}.$$
 (1)

In order to improve system stability, a virtual impedance is considered using the same implementation as presented in [11], where the voltage drops over the virtual impedance $V_{v\alpha}$ and $V_{v\beta}$ are described by (2), being Rv and L_v the virtual resistance and inductance, respectively, and I_{α} and I_{β} the inverter output currents in $\alpha - \beta$ reference

$$\begin{bmatrix} V_{v\alpha} \\ V_{v\beta} \end{bmatrix} = \begin{bmatrix} R_v & -\omega L_v \\ \omega L_v & R_v \end{bmatrix} \begin{bmatrix} I_\alpha \\ I_\beta \end{bmatrix}.$$
 (2)

The primary control is based on the droop control method, 114 which is capable of providing the active and reactive power 115 sharing between the units without using communication, that 116 is, only the local measurements are used. This control level is 117 not capable of guaranteeing the equitable power sharing, since 118 it is affected by the possible discrepancy of parameters between 119 units, such as distinct line impedances. Besides that the load 120 affects the operational frequency and voltage. 121

The frequency and voltage droops used to control each in-122 verter are described by (3) and (4), respectively, these present 123 the gains k_p and k_v . Q_{eq} is a reactive power at the equilibrium 124 point, where the inverter operates with the voltage amplitude 125 $E_{
m eq}$ and a frequency $\omega_{
m eq}$. $P_{
m av}$ and $Q_{
m av}$ are the average ac-126 tive and reactive power measured by a data acquisition system 127 in each inverter. $P_{\rm ref}$ is the power reference of the frequency 128 droop, a differentiated analysis to that presented in [12], where 129

 $P_{\rm ref}$ was a constant and equivalent to the active power $P_{\rm eq}$ at 130 the equilibrium point. Here, it represents an input variable that 131 will be defined by the secondary control 132

$$\omega = \omega_{\rm eq} - k_p (P_{\rm av} - P_{\rm ref}) \tag{3}$$

$$E = E_{\rm eq} - k_v (Q_{\rm av} - Q_{\rm eq}). \tag{4}$$

The algorithms for active and reactive power measuring use a 133 first-order low-pass filter with cutoff frequency of ω_f , then the 134 relationships between the instantaneous powers (p and q) and 135 average powers (P_{av} and Q_{av}) measured by the filters are 136

$$P_{\rm av} = \frac{\omega_f}{s + \omega_f} p \tag{5}$$

$$Q_{\rm av} = \frac{\omega_f}{s + \omega_f} q. \tag{6}$$

In a microgrid system, the frequency restoration and the volt-137 age regulation can be implemented by the secondary control, 138 but a communication data link is necessary. In this paper, a de-139 centralized secondary control that performs only the frequency 140 restoration function is presented. The control law implemented 141 in each node of the distributed secondary control is described 142 by (7). The goal of this controller is to eliminate the difference 143 between the power reference of the *i*th inverter to the active 144 power supplied by the others, as presented in Section III-C. 145 The idea can be applied to an arbitrary number of units, but for 146 the sake of simplicity, the model and its validation are presented 147 considering only a three-node system. Results for a 12-inverter 148 system are presented in Section V. The data link network that 149 connects all units presents a single and constant time delay 150

$$P_{\rm refi} = -k_{\rm pri} \int \sum_{\substack{j=1\\j\neq i}}^{n} (P_{\rm refi} - P_{\rm avj}) dt.$$
(7)

III. SMALL-SIGNAL ANALYSIS

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In order to facilitate one's comprehension of the proposed 152 small-signal model, the math development is divided into five 153 sections. Initially, the small-signal analysis for the primary con-154 trol in each inverter is presented. Considering the admittance 155 nodal equation, the connection between the nodes provided by 156 the power network and the loads is analyzed. The consensus 157 algorithm for the secondary control and the data network is 158 presented, on which all links present the same arbitrary time 159 delay. Finally, the complete model is presented. The respective 160 time delay is considered constant in this paper. This assump-161 tion corresponds to practical real-time digital communication 162 setups, in which interprocessing times of the received packets 163 are made constant by means of buffering and use of sequence-164 numbers/time-stamps contained in the packets [13]. In other 165 words, the delay between two packet arrivals, that inevitably 166 varies, can be assumed to be made constant, and the delay as-167 sumed in the paper is the upper bound of the total allowed delay 168 in the system, made equal for all communication links. Another 169 communication impairment that arises in practice are the packet 170 losses, which can also account for the cases when the packet 171 delay exceeds the upper bound. This impairment is not included 172



Fig. 1. Microgrid scheme.

in the analysis provided in this section. However, in the simulation results presented in Section VI, one also covers this aspect
and shows that for a realistic packet loss probability that can be
expected in practice, there is no significant difference between
the results obtained by simulation and the results obtained by
the small-signal model in which the packet loss probability is
not included. More details are presented in Section VI.

180 A. Small-Signal Model for Each Inverter Under the 181 Primary Control

182 Considering the linearization around the equilibrium point 183 specified by ω_{eq} , E_{eq} , P_{eq} , Q_{eq} , and the measuring filters 184 described by (5) and (6), one can rewrite (3) and (4) as

$$s\Delta\omega = -\omega_f \Delta\omega - k_p \omega_f \Delta p + k_p s\Delta P_{\rm ref} + k_p \omega_f \Delta P_{\rm ref}$$

$$s\Delta E = -\omega_f \Delta E - k_v \omega_f \Delta q.$$
(9)

185 It is important to keep in mind that $P_{\rm ref}$ is a variable, therefore, 186 two extra terms are implied in (8) related to the deviation $\Delta P_{\rm ref}$ 187 and its derivative.

The analytical calculations for the inverter voltage are the same as those presented in [12], those being, the inverter voltage \vec{E} , this can be written using a coordinate system with direct axis and quadrature axis:

$$\vec{E} = e_d + je_q = E\cos(\delta) + jE\sin(\delta)$$
(10)

192 where

$$\delta = \arctan\left(\frac{e_q}{e_d}\right). \tag{11}$$

It is important to emphasize that δ is not the relative phase 193 between output voltages of inverters connected to the system, 194 but it is the absolute inverter voltage phase. Therefore, as one 195 notes in Section IV, a redundant state and an eigenvalue at 196 the origin [12] are implied. Besides this, as developed in [12], 197 the reference voltage of each inverter obtained by the voltage 198 droop is considered as being equal to the inverter output voltage, 199 that is, the inverters are considered as ideal voltage sources. 200

Linearizing (11) for a given e_d and e_q defined by the 201 equilibrium point 202

$$\Delta \delta = \frac{\partial \delta}{\partial e_d} \Delta e_d + \frac{\partial \delta}{\partial e_q} \Delta e_q = m_d \Delta e_d + m_q \Delta e_q \qquad (12)$$

where

$$m_d = -\frac{e_q}{e_d^2 + e_q^2}, \quad m_q = \frac{e_d}{e_d^2 + e_q^2}.$$
 (13)

Since $\Delta \omega(s) = s \Delta \delta(s)$, then

$$\Delta \omega = m_d \Delta \dot{e_d} + m_q \Delta \dot{e_q}. \tag{14}$$

Considering that (15) defines the amplitude of the inverter 205 voltage, its respective linearization around the equilibrium point 206 can be obtained by (16) 207

$$E = |\vec{E}| = \sqrt{e_d^2 + e_q^2}$$
 (15)

$$\Delta E = n_d \Delta e_d + n_q \Delta e_q \tag{16}$$

where

$$n_d = \frac{e_d}{\sqrt{e_d^2 + e_q^2}}, \quad n_q = \frac{e_q}{\sqrt{e_d^2 + e_q^2}}$$
 (17)

which implies that

$$s\Delta E = n_d s\Delta e_d + n_q s\Delta e_q. \tag{18}$$

Solving the equation system formed by (9), (14), (16), and 210 (18), isolating the derivatives $s\Delta e_d$ and $s\Delta e_q$, and considering 211 (8), one obtains the state equation (19), which describes the 212 behavior of the states $\Delta \omega$, Δe_d , and Δe_q of the *i*th inverter in 213 the neighborhood of the equilibrium point. As one can see, the 214 input of the state equation includes a term which depends on the 215 deviation of apparent power that the inverter is supplying, and 216 the all other terms are related to the reference average power 217 deviation and its derivative 218

$$\begin{array}{c} \Delta \dot{\omega_i} \\ \Delta \dot{e_{di}} \\ \Delta \dot{e_{qi}} \end{array} = \begin{bmatrix} M_i \end{bmatrix} \begin{bmatrix} \Delta \omega_i \\ \Delta e_{di} \\ \Delta e_{qi} \end{bmatrix} + \begin{bmatrix} B_{si} \end{bmatrix} \begin{bmatrix} \Delta p_i \\ \Delta q_i \end{bmatrix} \\ + \begin{bmatrix} B_{ri} \end{bmatrix} \begin{bmatrix} \Delta P_{refi} \end{bmatrix} + \begin{bmatrix} B_{di} \end{bmatrix} \begin{bmatrix} \Delta \dot{P}_{refi} \end{bmatrix}$$
(19)

203

204

208



Fig. 2. Parallel-connected inverters in an islanded microgrid.

219 or representatively

$$\begin{bmatrix} \Delta \dot{X}_{si} \end{bmatrix} = [M_i] [\Delta X_{si}] + [B_{si}] [\Delta S_i] + [B_{ri}] [\Delta P \operatorname{ref}_i] + [B_{di}] [\Delta \dot{P} \operatorname{ref}_i]$$
(20)

Δ

220 where

$$\begin{bmatrix} M_i \end{bmatrix} = \begin{bmatrix} \frac{n_q}{m_d n_q - m_q n_d} & \frac{m_q n_d \omega_f}{m_d n_q - m_q n_d} & \frac{m_q n_q \omega_f}{m_d n_q - m_q n_d} \\ \frac{n_d}{m_q n_d - m_d n_q} & \frac{m_d n_d \omega_f}{m_q n_d - m_d n_q} & \frac{m_d n_q \omega_f}{m_q n_d - m_d n_q} \end{bmatrix}$$

$$\begin{bmatrix} -k_p \omega_f & 0 \\ 0 & \frac{k_v m_q \omega_f}{m_d n_q - m_q n_d} \\ 0 & \frac{k_v m_d \omega_f}{m_q n_d - m_d n_q} \end{bmatrix}$$

$$\begin{bmatrix} B_{ri} \end{bmatrix} = \begin{bmatrix} k_p \omega_f \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} k_p \omega_f \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 22 \\ 23 \\ 23 \end{bmatrix}$$

$$\begin{bmatrix} B_{ri} \end{bmatrix} = \begin{bmatrix} k_p \omega_f \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 23 \\ 24 \end{bmatrix}$$

221 B. Small-Signal Model for the Entire Microgrid Under the 222 Primary Control

The principle used to develop the model can be applied to a microgrid with an arbitrary number of nodes. However, in order to facilitate this development, an islanded microgrid will be examined; this is composed of three inverters connected in parallel to a common load bus, as visualized in Fig. 2.

In order to simplify the power network analysis, the effect of frequency variation over the frequency-dependent loads will



Fig. 3. Relation between the common load bus and regular networked microgrids.

be neglected, that is, the network reactances will be considered 230 constant. This assumption can be considered reasonable because 231 the droop controllers are designed to apply low deviations along 232 the system frequency. It is important to keep in mind that the 233 higher the system frequency range, the lower the precision of 234 this modeling will be. 235

Therefore, neglecting the frequency variations, the nodal admittance equation for the islanded microgrid presented in Fig. 2 237 can be obtained considering the regular networked microgrid 238 shown in Fig. 3, where the gray admittances are null and there is no inverter connected in the load bus. 240

Hence, the nodal equation of the islanded microgrid is (25), 241 which in its representative form is (26) 242

$$\begin{bmatrix} \vec{I}_{1} \\ \vec{I}_{2} \\ \vec{I}_{3} \\ \vec{I}_{4} \end{bmatrix} = \begin{bmatrix} Y_{ad} & 0 & 0 & -Y_{ad} \\ 0 & Y_{bd} & 0 & -Y_{bd} \\ 0 & 0 & Y_{cd} & -Y_{cd} \\ -Y_{da} & -Y_{db} & -Y_{dc} & Y_{da} + Y_{db} + Y_{dc} + Y_{dd} \end{bmatrix}$$

$$\begin{bmatrix} \vec{E}_{1} \\ \vec{E}_{2} \\ \vec{E}_{3} \\ \vec{E}_{4} \end{bmatrix}$$

$$(25)$$

 $\begin{bmatrix} I_{1234} \end{bmatrix} = \begin{bmatrix} Y \end{bmatrix} \begin{bmatrix} \vec{E_{1234}} \end{bmatrix}.$ (26)

Since there is no power injection on node 4 and all the power 243 consumption is represented by the respective shunt load included in the admittance matrix Y, the voltage at node 4 is a 245 linear combination of the voltage on the other three nodes. Thus, 246 we can eliminate node 4 by considering (27), which is derived 247

from (25), considering $\vec{I_4} = 0$ 248

$$\begin{bmatrix} \vec{E_1} \\ \vec{E_2} \\ \vec{E_3} \\ \vec{E_4} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ Y_{da}/Y_t & Y_{db}/Y_t & Y_{dc}/Y_t \end{bmatrix} \begin{bmatrix} \vec{E_1} \\ \vec{E_2} \\ \vec{E_3} \end{bmatrix}$$
(27)

or representatively 249

$$\begin{bmatrix} \vec{E_{1234}} \end{bmatrix} = \begin{bmatrix} T_{4to3} \end{bmatrix} \begin{bmatrix} \vec{E_{123}} \end{bmatrix}$$
(28)

where $Y_t = Y_{da} + Y_{db} + Y_{dc} + Y_{dd}$. 250

Then, the admittance nodal equation of the three-inverter 251 system shown in Fig. 2 is 252

$$\begin{bmatrix} \vec{I_1} \\ \vec{I_2} \\ \vec{I_3} \end{bmatrix} = \begin{bmatrix} Y_s \end{bmatrix} \begin{bmatrix} \vec{E_1} \\ \vec{E_2} \\ \vec{E_3} \end{bmatrix}$$
(29)

- where the matrix $[Y_s]$ is the submatrix (1:3, 1:3) of the product 253 $[Y][T_{4to3}].$ 254
- Converting the complex equation (29) to its real form: 255

$$\begin{bmatrix} i_{d1} \\ i_{q1} \\ i_{d2} \\ i_{q2} \\ i_{d3} \\ i_{q3} \end{bmatrix} = \begin{bmatrix} G_{11} & -B_{11} & G_{12} & -B_{12} & G_{13} & -B_{13} \\ B_{11} & G_{11} & B_{12} & G_{12} & B_{13} & G_{13} \\ G_{21} & -B_{21} & G_{22} & -B_{22} & G_{23} & -B_{23} \\ B_{21} & G_{21} & B_{22} & G_{22} & B_{23} & G_{23} \\ G_{31} & -B_{31} & G_{32} & -B_{32} & G_{33} & -B_{33} \\ B_{31} & G_{31} & B_{32} & G_{32} & B_{33} & G_{33} \end{bmatrix} \begin{bmatrix} e_{d1} \\ e_{q1} \\ e_{d2} \\ e_{d2} \\ e_{d3} \\ e_{q3} \end{bmatrix}$$
(30)

256 where

 $Y_{sij} = G_{ij} + jB_{ij}.$ (31)

Linearizing (30), one obtains 257

$$[\Delta i] = [Y_s] [\Delta e] . \tag{32}$$

Considering the expressions used for calculating the active 258 259 and reactive power for the *i*th inverter using a d-q orthogonal coordinate system, one has 260

$$p_i = e_{\mathrm{di}}i_{\mathrm{di}} + e_{qi}i_{qi} \tag{33}$$

$$q_i = e_{\mathrm{di}} i_{qi} - e_{qi} i_{\mathrm{di}}. \tag{34}$$

Considering the system presented in Fig. 2 and linearizing 262 (33) and (34), one obtains (35), which describes the deviations 263

$$\begin{bmatrix} \Delta p_{1} \\ \Delta q_{1} \\ \Delta p_{2} \\ \Delta q_{2} \\ \Delta q_{3} \end{bmatrix} = \begin{bmatrix} i_{d1} & i_{q1} & 0 & 0 & 0 & 0 \\ i_{q1} & -i_{d1} & 0 & 0 & 0 & 0 \\ 0 & 0 & i_{d2} & i_{q2} & 0 & 0 \\ 0 & 0 & i_{q2} & -i_{d2} & 0 & 0 \\ 0 & 0 & 0 & 0 & i_{d3} & i_{q3} \\ 0 & 0 & 0 & 0 & 0 & i_{q3} & -i_{d3} \end{bmatrix} \begin{bmatrix} \Delta e_{d1} \\ \Delta e_{q1} \\ \Delta e_{d2} \\ \Delta e_{d2} \\ \Delta e_{d3} \\ \Delta e_{q3} \end{bmatrix}$$
$$+ \begin{bmatrix} e_{d1} & e_{q1} & 0 & 0 & 0 & 0 \\ -e_{q1} & e_{d1} & 0 & 0 & 0 & 0 \\ 0 & 0 & -e_{q2} & e_{d2} & 0 & 0 \\ 0 & 0 & 0 & 0 & e_{d3} & e_{q3} \\ 0 & 0 & 0 & 0 & -e_{q3} & e_{d3} \end{bmatrix} \begin{bmatrix} \Delta i_{d1} \\ \Delta i_{q1} \\ \Delta i_{d2} \\ \Delta i_{d3} \\ \Delta i_{q3} \end{bmatrix}.$$
(35)

Equation (35) can be written representatively as

$$\Delta S] = [I_s][\Delta e] + [E_s][\Delta i]. \tag{36}$$

AL.

(38)

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$$\Delta S] = \left([I_s] + [E_s][Y_s] \right) [\Delta e]. \tag{37}$$

The state equation that represents the system shown in Fig. 2 267 can be derived from (19), this represents each inverter separately. 268 Thus, resulting in the state equation 269

$$\begin{split} \dot{\Delta \omega}_{1} \\ \Delta \dot{e}_{d1} \\ \Delta \dot{e}_{d1} \\ \Delta \dot{e}_{q1} \\ \Delta \dot{\omega}_{2} \\ \Delta \dot{e}_{q2} \\ \Delta \dot{e}_{d2} \\ \Delta \dot{e}_{d2} \\ \Delta \dot{e}_{d2} \\ \Delta \dot{e}_{d3} \\ \Delta e_{q3} \\ \end{pmatrix} \\ + \begin{bmatrix} B_{s1} \\ B_{s2} \\ B_{s3} \end{bmatrix} \begin{bmatrix} \Delta p_{1} \\ \Delta q_{2} \\ \Delta e_{q3} \\ \Delta e_{q4} \\ \Delta$$

270 or representatively as

$$\begin{aligned} [\Delta \dot{X_s}] &= [M_s][\Delta X_s] + [B_{ss}][\Delta S] \\ &+ [B_{rs}][\Delta P_{\text{refs}}] + [B_{ds}][\Delta \dot{P_{\text{refs}}}]. \end{aligned} (39)$$

Then, combining (37) and (39)

$$[\Delta \dot{X}_{s}] = [M_{s}][\Delta X_{s}] + [B_{ss}] ([I_{s}] + [E_{s}][Y_{s}]) [\Delta e] + [B_{rs}][\Delta P_{refs}] + [B_{ds}][\Delta \dot{P}_{refs}].$$
(40)

272 One observes that the relation between Δe and the state vector 273 ΔX_s is

274 which representatively is

$$[\Delta e] = [K_e][\Delta X_s].$$

(42)

Substituting (42) for (40), then

$$[\Delta \dot{X}_{s}] = [M_{s}][\Delta X_{s}] + [B_{ss}] ([I_{s}] + [E_{s}][Y_{s}]) [K_{e}][\Delta X_{s}] + [B_{rs}][\Delta P_{refs}] + [B_{ds}][\Delta \dot{P}_{refs}].$$
(43)

After some algebraic manipulations, we can obtain the state equation (44), which describes the behavior of the system considering a given initial condition in the neighborhood of the equilibrium point and the input deviations ΔP_{refs} and its derivatives. If the inputs of the state equation are considered null, the small-signal analysis falls into the particular case presented in [12], where a secondary control level is not considered

$$[\Delta \dot{X}_{s}] = ([M_{s}] + [B_{ss}] ([I_{s}] + [E_{s}][Y_{s}]) [K_{e}]) [\Delta X_{s}] + [B_{rs}] [\Delta P_{refs}] + [B_{ds}] [\Delta \dot{P}_{refs}].$$
(44)

283 C. Small-Signal Model for the Entire Microgrid Under the 284 Secondary Control

The goal of the secondary control in this paper is to keep the system frequency over the nominal value in spite of the load variation, but concomitantly keeping the equitable active power sharing, that is, its function is the frequency restoration. Thus, in order to perform this function, the secondary control modifies the power reference $P_{\text{ref}i}$ of the frequency droop in each inverter.

The islanded microgrid presented in Fig. 2 can be considered as a power network where there is a consensus to provide the power sharing, and where the frequency and voltage droops are the distributed controllers. This consensus keeps the system stable and in the steady state all inverters operate at the same



Fig. 4. Directed graph for secondary control.

frequency, not necessarily the nominal frequency. The load sharing and the equilibrium frequency depend on the load and the setpoints of the reference power in each inverter. Thus, another network will be used for implementing the frequency restoration that being a data link network. This new network can be presented in several topologies. A strongly connected example [14] is shown in Fig. 4, where only three inverters are considered. 303

The data link network in Fig. 4 is a directed graph where the 304 inverters are the vertices and the directional data links are the 305 edges. In this paper, a not strongly connected directed network 306 will be considered, so the data links shown in gray will be 307 neglected. Thus, the adjacency matrix A_g and the degree matrix 308 D_g of the directed graph presented in Fig. 4 are 309

$$[A_g] = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \quad [D_g] = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$
(45)

It is possible to implement different types of consensus algorithm into the secondary control level. For example, to implement the active power sharing an average-consensus algorithm can be used. This kind of consensus can be represented by (46) [14]–[16], where x is the state vector of the system, **L** is the Laplacian matrix of the graph defined by (47) 313

$$\dot{x} = -C(\mathbf{D}_{\mathbf{g}} - \mathbf{A}_{\mathbf{g}})x = -C\mathbf{L}x\tag{46}$$

$$\mathbf{L} = \mathbf{D}_{\mathbf{g}} - \mathbf{A}_{\mathbf{g}}.$$
 (47)

In this case, the distributed control law can be represented by 316 (48), considering an unweighted graph, where C is a constant 317 called the diffusion constant, which affects the convergence 318 rate [16] 319

$$P_{\text{refi}} = -C \int \sum_{\substack{j=1\\ j\neq i}}^{n} (P_{\text{avi}} - P_{\text{avj}}) dt.$$
(48)

The consensus algorithm implemented using the distributed 320 controller represented by (48) is capable of keeping the equitable 321 active power sharing in spite of load variation, but it does not 322 guarantee the operation at the nominal frequency. Therefore, 323 in order to meet both requirements, in this paper the control 324 law for the secondary control implemented in each inverter is 325 described by (49), this corresponds to the distributed controller 326 implemented in the multiagent system represented by the graph 327 in Fig. 4, where k_{pri} is the integral gain of the controller in each 328 329 inverter

$$P_{\rm refi} = -k_{\rm pri} \int \sum_{\substack{j=1\\j\neq i}}^{n} (P_{\rm refi} - P_{\rm avj}) dt.$$
(49)

The terms to be included in the summation presented in (49) 330 depend on the topology of the data link network, that is, the 331 existence of an outgoing edge from vertex j, which is incident 332 on vertex *i*, implying the term $(P_{refi} - P_{avj})$ in the summation. 333 It is assumed that all vertex has at least one incoming edge, 334 which implies that all distributed controllers have at least one 335 term in the summation. Then, considering the data link network 336 as the graph described by the green line edges (see Fig. 4), the 337 338 linearization of the control law shown in (49) is

$$\begin{bmatrix} \Delta \dot{P}_{\text{ref1}} \\ \Delta \dot{P}_{\text{ref2}} \\ \Delta \dot{P}_{\text{ref3}} \end{bmatrix} = -\begin{bmatrix} k_{pr1} & 0 & 0 \\ 0 & k_{pr2} & 0 \\ 0 & 0 & k_{pr3} \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \Delta P_{\text{ref1}} \\ \Delta P_{\text{ref2}} \\ \Delta P_{\text{ref3}} \end{bmatrix} \\ + \begin{bmatrix} k_{pr1} & 0 & 0 \\ 0 & k_{pr2} & 0 \\ 0 & 0 & k_{pr3} \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \Delta P_{av1} \\ \Delta P_{av2} \\ \Delta P_{av3} \end{bmatrix}$$

(50)

339 or in its representative form

$$[\Delta \dot{P}_{\rm refs}] = -[k_{\rm prs}][D_g][\Delta P_{\rm refs}] + [k_{\rm prs}][A_g][\Delta P_{\rm avs}].$$
(51)

340 If a distinct graph is considered with different edges from those highlighted in Fig. 4, to obtain a new control law, it is 341 necessary only to change the degree matrix D_q and adjacency 342 matrix A_g in (51). It is important to emphasize that no loop is 343 considered in the network graph, that is, the term $P_{\text{ref}i} - P_{\text{avi}}$ is 344 not presented in the summation of (49). This would be an option 345 for keeping the nominal frequency in case of only one inverter 346 or vertex remaining in operation, but in fact, no consensus is 347 necessary if only one vertex is presented, since the nominal 348 frequency could be imposed by the controller. Thus, as the loops 349 are not considered in simple graphs, they will not be considered 350 here either. 351

352 D. Time Delay on the Secondary Control

Equation (51) represents the distributed controller in each inverter if no time delay is present in the data communication link. However, in this paper, a constant time delay t_d will be considered in each data communication link represented by the edges on the network graph. Then, (52) must replace (51)

$$\begin{split} [\Delta \dot{P}_{\rm refs}(t)] &= -[k_{\rm prs}][D_g][\Delta P_{\rm refs}(t)] \\ &+ [k_{\rm prs}][A_g][\Delta P_{\rm avs}(t-t_d)]. \end{split} \tag{52}$$

Substituting (52) in (44), it is possible to eliminate the input derivative term in the small-signal model for the islanded microgrid under the primary level control. Then, after some algebraic manipulations

$$\begin{aligned} [\Delta X_s(t)] &= ([M_s] + [B_{ss}] ([I_s] + [E_s][Y_s]) [K_e]) [\Delta X_s(t)] \\ &+ ([B_{rs}] - [B_{ds}][k_{prs}][D_g]) [\Delta P_{refs}(t)] \\ &+ [B_{ds}][k_{prs}][A_g] [\Delta P_{avs}(t - t_d)]). \end{aligned}$$
(53)

It is important to keep in mind that the states in vector ΔX_s 362 and vector ΔP_{refs} imply local feedbacks and no data communication link is necessary. Only the inverter output power measurement is sent from one vertex to the other using the data 365 communication link, which is affected by the time delay t_d . 366

According to (5) the relation between the deviations from 367 average active power and instantaneous power in each inverter 368 that integrates the network is 369

$$\begin{bmatrix} \Delta P_{av1} \\ \Delta \dot{P}_{av2} \\ \Delta \dot{P}_{av3} \end{bmatrix} = -\begin{bmatrix} \omega_{f1} & 0 & 0 \\ 0 & \omega_{f2} & 0 \\ 0 & 0 & \omega_{f3} \end{bmatrix} \begin{bmatrix} \Delta P_{av1} \\ \Delta P_{av2} \\ \Delta P_{av3} \end{bmatrix} + \begin{bmatrix} \omega_{f1} & 0 & 0 \\ 0 & \omega_{f2} & 0 \\ 0 & 0 & \omega_{f3} \end{bmatrix} \begin{bmatrix} \Delta p_1 \\ \Delta p_2 \\ \Delta p_3 \end{bmatrix}$$
(54)

or representatively

$$[\Delta \dot{P}_{\text{avs}}(t)] = -[\omega_{fs}][\Delta P_{\text{avs}}(t)] + [\omega_{fs}][\Delta p_s(t)].$$
(55)

It is possible to represent the vector Δp_s as a function of the 371 vector ΔS , thus it follows that 372

$$\begin{bmatrix} \Delta p_1 \\ \Delta p_2 \\ \Delta p_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \Delta p_1 \\ \Delta q_1 \\ \Delta p_2 \\ \Delta q_2 \\ \Delta p_3 \\ \Delta q_3 \end{bmatrix}$$
(56)

which in its representative form is

$$[\Delta p_s] = [k_{ps}][\Delta S]. \tag{57}$$

Applying (37), (42), and (57) into (55), we obtain

 $[\Delta \dot{P}_{avs}(t)] = -[\omega_{fs}][\Delta P_{avs}(t)] + [\omega_{fs}][k_{ps}]([I_s])$

$$+[E_s][Y_s])[K_e][\Delta X_s(t)].$$
 (58)

E. Small-Signal Model for the Entire System—A DDE 375 Model 376

Considering (52), (53), and (58), it is possible to write the 377 state equation (59) shown at the bottom of the next page which 378 corresponds to the small-signal model for the whole system, 379 where the vectors ΔX_s , ΔP_{avs} , and ΔP_{refs} are the components 380 of the new state vector ΔX . 381

The small-signal model represented by (59) can be expressed 382 representatively as (60), where $\phi(t)$ is the initial history function. Equation (60) belongs to the class of DDE with a single 384

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385 delay [17]

$$\begin{cases} \Delta \dot{X}(t) = \mathbf{A} \Delta X(t) + \mathbf{A}_{\mathbf{d}} \Delta X(t - t_d), \ t > 0\\ \Delta X(t) = \phi(t), \qquad t \in [-t_d, 0]. \end{cases}$$
(60)

The characteristic equation for the system described in (60) is

$$\det(-s\mathbf{I} + \mathbf{A} + \mathbf{A}_{\mathbf{d}}e^{-\mathrm{st}_d}) = 0.$$
(61)

Equation (61) has infinite solutions, which implies that the systems represented by (60) have an infinite number of eigenvalues [18]. Different approaches have been proposed to handle DDE's, considering analytical solutions [19] or numerical solutions [20]. The spectrum of scalar single delay DDE's can be determined 393 using the Lambert W function [19]. The results from the scalar 394 case can be extended to the nonscalar cases when the matrices 395 A and A_d are simultaneously triangularizable, otherwise, the solution based on the Lambert W function is not applicable 397 to the arbitrary DDE [17]. Unfortunately, the matrices A and A_d of the system expressed by (59) are not simultaneously 399 triangularizable. 400

In this study, in order to analyze the spectrum of the single delay DDE expressed by (59), a numerical approach encountered 402 in [21] is used, with the respective Matlab code as presented in [17]. The solution of the DDE is obtained by the Matlab dde23 404 function. 405

(59)

TABLE I SYSTEM PARAMETERS AND EQUILIBRIUM POINT

Variable	Value	Unit
Inverter LC filter—inductor	1.8	$m \mathrm{H}$
Inverter LC filter-capacitor	27.0	μH
Load $1 = \text{Load } 2$	119 + j0	Ω
Line transmission-inverter 1	0.2 + j1.131	Ω
Line transmission—inverters 2 and 3	0.1 + j0.566	Ω
Measuring filter cutoff frequency		
$(\omega_{f1} = \omega_{f2} = \omega_{f3})$	31.4159	rad/s
Frequency-droop coefficient		
$(k_{p1} = k_{p2} = k_{p3})$	0.0004	rad/s/W
Voltage-droop coefficient		
$(k_{v1} = k_{v2} = k_{v3})$	0.0005	V/var
Frequency restoration integral gain		
$(k_{pr1} = k_{pr2} = k_{pr3})$	5	W/s
Voltage PR controller		
proportional gain (k_{rv})	0.06	A/V
resonant gain (k_{resv})	40.0	A/V/s
Current PR controller		
proportional gain (k_{ri})	10.0	V/A
resonant gain (k_{resi})	50.0	V/A/s
Virtual resistance (R_v)	1.5	Ω
Virtual inductance (L_v)	4	$m \mathrm{H}$
Apparent power		
inverter 1 $(P_1 + jQ_1)$	442.5 - j9.7	VA
inverter 2 $(P_2 + jQ_2)$	442.5 + j8.6	VA
inverter 3 $(P_2 + jQ_2)$	442.5 + j8.6	VA
Inverter 1 output voltage $(\vec{E_1})$	230.0∠0	V (rms), rad
Inverter 2 output voltage $(\vec{E_2})$	$229.99 \angle -0.0018$	V (rms), rad
Inverter 3 output voltage $(\vec{E_3})$	$229.99 \angle -0.0018$	V (rms), rad
Nominal frequency (ω)	314.159	rad/s
Switching frequency	10	kHz
- · ·		

IV. SIMULATION AND EXPERIMENTAL RESULTS

In order to validate the proposed small-signal model, a num-407 ber of simulations and experiments were performed consider-408 ing the islanded microgrid as presented in Fig. 2, defined by 409 the parameters shown in Table I. Each node is composed of 410 a three-phase inverter with the control scheme as presented in 411 Section II. The reader has to keep in mind that the inverter 412 internal controllers are neglected in the proposed small-signal 413 model. The value of transmission line impedance for the inverter 414 1 was considered twice the value of the impedance of the other 415 inverters for increasing the degree of generalization. 416

The data communication links used in the simulations are represented by the highlighted edges shown in Fig. 4. The time delay in simulations were implemented using a pure delay block $e^{-t_d s}$.

Each results' graph presents four curves identified as *Model*, *Sim1*, *Sim2*, and *Exp* in the graph legend, which corresponds to the following results:

424 *Model:* This curve corresponds to the solution of the DDE, 425 which is a linear time-invariant system with delay in state 426 feedback. Since the respective DDE is a small-signal model, 427 it provides the deviations ΔX , which must be added to the 428 equilibrium point value to obtain the variable behavior during 429 the transient ($X = X_{eq} + \Delta X$).

Sim1: This curve is a numerical solution of the nonlinear system provided by a circuit simulator. In this case, all control



Fig. 5. Lab oratory setup.

blocks presented in Fig. 1 are implemented, except the internal controllers, the virtual impedances and the *LC* filters, that is, the inverter reference voltage is equal to the inverter output voltage, and thus each inverter is an ideal voltage source.

Sim2: This curve is a numerical solution of the nonlinear sys-
tem. However, in this case, the PR controllers, the virtual
impedances and the LC output filters were included in the
circuit simulator. The effect of the pulse width modulation
was neglected.438

Exp: This curve is an experimental result obtained from the lab 441 oratory prototype, as seen in Fig. 5. The inner loops, pri-442 mary and secondary control loops were modeled in the Mat-443 lab/Simulink and then the respective code was programmed 444 into a dSPACE 1006 to control the three Danfoss FC302 445 converters. The three-unit system was powered by a Rega-446 tron GSS DC power supply. Finally, the output power and 447 the frequency of the converters were locally monitored by the 448 dSPACE Control Desk. The inverter switching frequency was 449 10 kHz. 450

In order to maintain the same comparison basis in our analysis 451 and as the virtual impedance represents an element connected 452 in series with the actual line impedance, both values were added 453 to represent the inverter connection impedance to obtain the 454 *Model* and *Sim1* results. This was due to the fact that the virtual 455 impedance concept was only included in the inverter controllers 456 for *Sim2* and *Exp* results. 457

The results correspond to a transient situation between two 458 steady states, defined by Load 1 and Load 2 (see Table I). Ini-459 tially the system is considered as being in the steady state, as 460 defined by the connection of Load 1. This situation implies 461 a constant historical function for all states ($\Delta X(t) = \phi(t) =$ 462 $constant, t \in [-t_d, 0]$) and a load flow is implemented to cal-463 culate this initial condition. Then, Load 2 is connected in paral-464 lel with Load 1 and the system moves to the new steady state, 465 which consists of the equilibrium point shown in Table I. A new 466 load flow is implemented to calculate this equilibrium point, 467 where the parameters are used to calculate the small-signal 468 model constants. 469



Fig. 6. System frequency. (a) $\omega_1, t_d = 20 \text{ ms.}$ (b) $\omega_2, t_d = 20 \text{ ms.}$ (c) $\omega_3, t_d = 20 \text{ ms.}$ (d) $\omega_1, t_d = 200 \text{ ms.}$ (e) $\omega_2, t_d = 200 \text{ ms.}$ (f) $\omega_3, t_d = 200 \text{ ms.}$

Fig. 6 shows the behavior of the frequency of the three invert-470 ers during the transient, considering two distinct values for the 471 time delay t_d in the data communication link. The frequencies 472 were obtained by the small-signal model, by the simulations 473 (Sim1 = ideal inverters, Sim2 = real inverters), as well as by 474 the experiment. The calculations for the model were obtained 475 through the dde23 Matlab function. One notes there exists a 476 477 perfect agreement between the model and simulation (Sim1), where the inverter internal dynamics is neglected. Even consid-478 ering the inverter internal dynamics, the agreement between the 479 model, simulation (Sim2) and the experimental result (Exp) is 480 481 very good, which shows that the inverter internal dynamics does not affect the interaction between nodes significantly and it is 482 reasonable, therefore, to neglect this interaction in the stability 483 studies of the microgrid. 484

When the load is changed, the primary control responds fast 485 and moves the frequency of the system in order to keep the 486 487 system stable and to provide load sharing. The secondary control provides the frequency restoration to the nominal value as 488 we can see in Fig. 6. At the time delay $t_d = 200$ ms, the sys-489 tem almost achieves the new equilibrium frequency, and then, 490 even with this delay, the secondary control starts the frequency 491 restoration. 492

The root locus plot of the system considering the time delay t_d variation from 0 to 200 ms is presented in Fig. 7, which is focused upon the rightmost eigenvalues. The finite set of eigenvalues represented by the blue stars corresponds to the system spectrum if no time delay is considered, then in this case, the system is represented by an ODE as shown by (62), where the $\phi(t_o)$ is the initial condition and the historical function



Fig. 7. Root locus computed with Matlab code from [17] and the number of Chebychev nodes N = 20.

is no longer necessary

$$\begin{cases} \Delta \dot{X}(t) = (\mathbf{A} + \mathbf{A}_{\mathbf{d}}) \Delta X(t), \ t > 0\\ \Delta X(t_o) = \phi(t_o), \qquad t_o = 0. \end{cases}$$
(62)

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This root locus in Fig. 7 corresponds to a numerical approximation, as it is an arduous task to determine the exact values 502 of eigenvalues in DDE systems, mainly in the case of the presented model where A and A_d do not commute, that is, they are not simultaneously triangularizable. An error analysis for this numerical approach is presented in [17] for a system with an 506



Fig. 8. Twelve-inverter system frequency—Model $t_d = 200$ ms.

analytical solution, then it is expected that the root locus presented in Fig. 7 corresponds to a well-defined accuracy. It is noted that the system maintains stability in spite of the variation of the time delay over the considered range. As the large time delay in communication implies a low exponential decay in the system's answer, the low-frequency modes move toward imaginary axis on the root locus graph, but they do not cross it.

V. EXTENSION OF THE PROPOSED MODEL

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For the sake of simplicity, a three-inverter system was considered for presenting the math developed for the proposed model and the respective validation by simulation and experimental results, as presented in Sections III and IV, respectively. The proposed model can be extended in a straightforward manner to represent a microgrid with more inverters connected. For each new inverter, the model order will be increased by 5.

In order to show an example of the model extension, in this Section, a 12-inverter system was considered with the same droop gains presented in Table I. In order to increase the degree of generalization, each inverter was connected to a distinct transmission line, with inductances in the range of 0.95 to 3.6 mH. Across all results presented in this Section, a communication time delay t_d of 200 ms was considered.

In Fig. 8, the frequency of each inverter is shown during the frequency restoration process, when $Load2(40 \Omega)$ is connect in parallel with $Load1(40 \Omega)$. This is the result of the respective 60th order model. In this case, a regular data communication network was used, that is, all edges in the respective 12 vertex graph are presented, which implies a fast convergence in the consensus algorithm.

536 VI. CONSTANT TIME DELAY AND PACKET LOSS IN A 537 COMMUNICATION SYSTEM

In practice, it can be expected that a digital communication system will be used for the communication among the units. In this case, besides measurement information, packets also carry control information, which typically includes sequence



Fig. 9. Twelve-inverter system frequency—simulation parameters: communication sampling rate: 50 Hz; packet loss probability: 10^{-2} .

numbers and/or timestamps [13], [22]. By means of buffering 542 and inspecting sequence-numbers/timestamp information, one 543 can ensure that the receiver processes the packets received from 544 its peers in the order that enforces equal delay on the links. 545 This technique is commonly used in real-time communication 546 systems, like PDH, SDH, VoIP, teleconferencing, etc. Further, 547 the buffer delay is simply incorporated in the total delay. In 548 this sense, the delay used in the analysis in the paper could be 549 considered as an upper limit of the total delay, made equal for 550 551 all links by using standard communication techniques.

A series of experiments conducted in our lab oratory showed 552 that, for an off-the shelf WiFi equipment, the duration of the 553 packet containing measurements is markedly less than 1 ms, 554 and the packet generation rate is of the order 1-5 ms, which 555 includes the transition from receiving to transmitting state. In 556 a scenario with ca., ten stations, all-to-all communication and 557 scheduled access, this implies that the frequency of secondary 558 control can be made of the order of 50 - 100 Hz. 559

In order to evaluate the performance of the secondary con-560 trol considering an actual communication link, the 12-inverter 561 system presented in Section V was simulated in the same tran-562 sient situation. The sampling frequency of the secondary control 563 was tuned to 50 Hz, which is a rate that could be supported by 564 off-the shelf equipment and considered communication setup. It 565 was also incorporated a packet loss probability of 10^{-2} , which 566 can be assumed to hold for 2 Mb/s WiFi links in rural scenarios 567 [23]. Fig. 9 shows the angular frequency of each inverter of the 568 12-inverter system in the scenario described above. Compared 569 with the result presented in Section V, Fig. 8, one observes a 570 good agreement. This last result shows that the usage of a realis-571 tic communication system, including the techniques mentioned 572 above, implies no significant difference in the system behavior. 573

VII. CONCLUSION 574

This paper has presented the small-signal analysis for a microgrid system using the droop control method in the primary control and a frequency restoration function in the secondary 577

control, where the respective communication data link was sub-578 mitted to a single and constant time delay. 579

The secondary control was implemented in a distributed 580 581 mode, considering a consensus algorithm. The data network can be considered in different configurations, which can be easily 582 set into the proposed small-signal model. 583

The proposed small-signal model allowed for the stability 584 analysis of a given microgrid, and it was possible to conclude 585 that a single and constant time delay in the communication data 586 587 link does not cause instability over the presented system.

In short, this study presents a starting point for future research, 588 since it shows a direction for dealing with time delays in the sec-589 ondary control of microgrids when one considers more realistic 590 data communication links. The assumption of a constant time 591 delay is reasonable, even when an actual communication system 592 is used. The typical sampling rate and the packet loss observed 593 in these communication systems do not affect the performance 594 of the secondary control in the studied microgrid. 595

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Ernane Antônio Alves Coelho (M'12) received 671 the B.S. degree in electrical engineering from 672 the Federal University of Minas Gerais (UFMG), 673 Belo Horizonte, Brazil, the M.S. degree from 674 the Federal University of Santa Catarina, 675 Florianopolis, Brazil, and the Ph.D. degree 676 from the UFMG in 1987, 1989, and 2000, 677 respectively. 678

In 1989, he joined the Electrical Engineering Faculty at the Federal University of Uberlândia (UFU), Uberlândia, Brazil, where he is currently

a Full Professor. In 2014, he was a Visiting Professor with the 682 Microgrid Research Group, Department of Energy Technology, Aalborg 683 University, Aalborg East, Denmark. He has been working with the Power 684 Electronics Research Group, UFU. His research interests in-685 ΡV clude power-factor correction. and fuel cell systems. 686 and microarid modeling, digital control by microcontrollers 687 and DSP's. 688



Dan Wu received the B.S. and M.S. de-690 grees in electrical engineering from the Beijing 691 Institute of Technology, Beijing, China, in 2009 692 and 2012, respectively. In 2015, she received the 693 Ph.D. degree from Department of Energy Tech-694 nology, Aalborg University, Aalborg East, Den-695 mark, where she was a Member of the Microgrid 696 Research Group 697

Her research interests include modeling 698 and control of power electronic converters, 699 coordinated control of microgrids, distributed

generation systems, and smart grids.

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Josep M. Guerrero (S'01-M'04-SM'08-F'15) received the B.S. degree in telecommunications engineering, the M.S. degree in electronics engineering, and the Ph.D. degree in power electronics from the Technical University of Catalonia, Barcelona, Spain, in 1997, 2000, and 2003, respectively.

Since 2011, he has been a Full Professor with the Department of Energy Technology, Aalborg University, Aalborg East, Denmark, where he is responsible for the Microgrid Research Pro-

gram. His research interests include different microgrid aspects, including power electronics, distributed energy-storage systems, hierarchical and cooperative control, energy management systems, and optimization of microgrids and islanded minigrids.

Prof. Guerrero is an Associate Editor for the IEEE TRANSACTIONS ON POWER ELECTRONICS, IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS, IEEE Industrial Electronics Magazine, and an Editor for the IEEE TRANSACTIONS ON SMART GRID and IEEE TRANSACTIONS ON 721 722 ENERGY CONVERSION. In 2014 and 2015, he received a Highly Cited 723 Researcher Award by the Thomson Reuters.



Juan C. Vasquez (M'12-SM'14) received the B.S. degree in electronics engineering from UAM, Manizales, Colombia, and the Ph.D. degree from BarcelonaTech, Barcelona, Spain, in 2004 and 2009, respectively.

In 2011, he became an Assistant Professor in the Department of Energy Technology, Aalborg University, Aalborg East Denmark, where he is the co-responsible of the Microgrid Research Programme and, since 2014 has been an Associate Professor in the same department.

He has authored/coauthored more than 100 technical papers regarding microgrids in international conference proceedings and journals. His research interests include operation, advanced hierarchical and cooperative control, optimization, and energy management applied to distributed generation in ac and dc microgrids.

Dr. Vasquez is currently a Member of the IEC System Evaluation 741 Group SEG4 on LVDC Distribution and Safety in Developed and Devel-742 oping Economies and the Renewable Systems Technical Committee TC-743 744 RES of the IEEE Industrial Electronics, IEEE Power Electronics, IEEE Industry Applications, and IEEE Power and Energy Societies. 745 746

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Tomislav Dragičević (S'09-M'13) received the M.E.E. and industrial Ph.D. degrees from the Faculty of Electrical Engineering, University of Zagreb, Zagreb, Croatia, in 2009 and 2013, respectively.

From 2013 until 2016, he was a Postdoctoral Research Associate at Aalborg University, Aalborg East, Denmark, where since March 2016, he has been an Associate Professor. His research interests include overall system design of autonomous and grid-connected dc and ac

microgrids, and industrial application of advanced modeling, control, and protection concepts to shipboard power systems, remote telecom stations, domestic and commercial facilities, and electric vehicle charging stations.

Dr. Dragičević is a Member of the IEEE Power Electronics and IEEE Power Systems Societies and has served on the Scientific Committee Boards of several IEEE conferences.



Čedomir Stefanović (S'04-M'11) received the 766 Dipl.-Ing., Mr.-Ing., and Ph.D. degrees in electri-767 cal engineering from the University of Novi Sad, 768 Novi Sad. Serbia. 769

He is currently an Associate Professor at the 770 Department of Electronic Systems, Aalborg Uni-771 versity, Aalborg East, Denmark. In 2014, he re-772 ceived an individual postdoctoral grant from the 773 Danish Council for Independent Research (Det 774 Frie Forskningsråd). His research interests include coding theory, communication theory, and

wireless communications.

Dr. Stefanović serves as a Reviewer for the IEEE COMMUNICATIONS LETTERS, IEEE TRANSACTIONS ON COMMUNICATIONS, IEEE TRANSACTIONS 779 ON INFORMATION THEORY, IEEE TRANSACTIONS ON WIRELESS COMMUNI-780 CATIONS, IEEE WIRELESS COMMUNICATIONS LETTERS, and IEEE SENSORS 781 JOURNAL. He also served as a Technical Program Committee Member 782 for numerous conferences and workshops. 783





Popovski (S'97-A'98-M'04-SM'10-Petar 785 F'16) received the Dipl.-Ing. degree in electrical 786 engineering and the Magister Ing. degree in 787 communication engineering from Sts. Cyril and 788 Methodius University, Skopje, Macedonia, in 789 1997 and 2000, respectively, and the Ph.D. 790 degree from Aalborg University, Aalborg East, 791 Denmark, in 2004. 792

He is currently a Professor of wireless com-793 munications at Aalborg University. His research 794 interests include wireless communication and 795 networking and communication/information theory. 796

Prof. Popovski received a Consolidator Grant from the European

797 Research Council and the Elite Researcher Award, 2016, in Denmark. 798 He is the Editor for IEEE TRANSACTIONS ON COMMUNICATIONS. He served 799 as the Chair of the IEEE ComSoc Emerging Technology Committee on 800 Smart Grid Communications. He is a Steering Committee Member for 801 the IEEE INTERNET OF THINGS JOURNAL and IEEE SmartGridComm. 802 803

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Small-Signal Analysis of the Microgrid Secondary Control Considering a Communication Time Delay

Ernane Antônio Alves Coelho, *Member, IEEE*, Dan Wu, Josep M. Guerrero, *Fellow, IEEE*, Juan C. Vasquez, *Senior Member, IEEE*, Tomislav Dragičević, *Member, IEEE*, Čedomir Stefanović, *Member, IEEE*, and Petar Popovski, *Fellow, IEEE*

Abstract-This paper presents a small-signal analysis of 7 8 an islanded microgrid composed of two or more voltage-9 source inverters connected in parallel. The primary control 10 of each inverter is integrated through an internal current and voltage loops using proportional resonant compensators, a 11 virtual impedance, and an external power controller based 12 on frequency and voltage droops. The frequency restora-13 14 tion function is implemented at the secondary control level, which executes a consensus algorithm that consists of a 15 load-frequency control and a single time delay commu-16 17 nication network. The consensus network consists of a time-invariant directed graph and the output power of each 18 inverter is the information shared among the units, which is 19 20 affected by the time delay. The proposed small-signal model is validated through simulation results and experimental re-21 sults. A root locus analysis is presented that shows the 22 23 behavior of the system considering control parameters and time delay variation. 24

Index Terms—Delay differential equations (DDEs), fre quency and voltage droop control, secondary control,
 small-signal analysis.

I. INTRODUCTION

THE growth in the applicability of the microgrid systems 29 is a recent phenomenon, which consist of distributed sys-30 tems where the sources and the loads are placed locally [1]. The 31 hierarchical control of a microgrid can be organized in three lev-32 33 els, primary, secondary, and tertiary control as presented in [2]. The primary control level, based on the droop control method, 34 provides the power sharing between units, but it applies the 35 voltage and frequency deviations according to the load demand. 36 Then, the functions of the voltage regulation and the frequency 37

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E. A. A. Coelho is with the Universidade Federal de Uberlândia, Uberlândia 38400-902, Brazil (e-mail: ernane@ufu.br).

D. Wu, J. M. Guerrero, J. C. Vasquez, and T. Dragicevic are with the Department of Energy Technology, Aalborg University, DK-9220, Aalborg East, Denmark (e-mail: dwu@et.aau.dk; joz@et.aau.dk; juq@ et.aau.dk; tdr@et.aau.dk).

C. Stefanovic and P. Popovski are with the Department of Electronic Systems, Aalborg University, DK-9220, Aalborg East, Denmark (e-mail: cs@es.aau.dk; petarp@es.aau.dk).

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restoration, which need communication to operate, must be implemented at a secondary control level [2]–[4]. The tertiary control manages the power flow between the microgrid and the grid, considering the grid-connected operation. 41

Several strategies for frequency and voltage restoration ap-42 plied to the microgrid systems have been proposed [4]-[6]. In 43 order to increase the system reliability by the addition of re-44 dundancy, the decentralized controller is preferred over the cen-45 tralized one [4]. The secondary control can incorporates yet the 46 cooperative characteristic, where each distributed source acts as 47 an agent, which operates together with other agents to achieve 48 a common goal. 49

In [7], one notes that the distributed secondary control 50 presents an improved performance, considering the commu-51 nication latency, when compared with the central secondary 52 control. The impact of communication delays on the secondary 53 frequency control in an islanded microgrid is shown in [8]. How-54 ever, in this case, the frequency restoration is implemented in 55 a centralized controller using a proportional integral compen-56 sator. In [6], robust control strategies for frequency restoration 57 are implemented considering a variable and unknown time de-58 lay in data communication, but in this approach, a centralized 59 secondary control is used, and additionally, the system control 60 incorporates a phase locked loop to obtain the frequency at the 61 bus loading. 62

The time delay effect on the system's stability has been the 63 topic of investigation in several engineering applications by the 64 use of delay differential equations (DDE). The spectrum analysis 65 of DDE is more complicated than that of ordinary differential 66 equations (ODE). The analytical solution is only possible in 67 simple cases, where numerical approaches are used for practical 68 systems [9], [10]. In these cases, the effect of the time delays on 69 power system stability is presented. 70

This paper presents a small-signal modeling of a micro-71 grid system operating in an islanded mode, which presents a 72 distributed control divided into primary and secondary levels. 73 Frequency restoration based on a consensus algorithm is im-74 plemented in the secondary control level, which uses a specific 75 control law and a data network. This data network presents a 76 single time delay and its topology can be described using the 77 graph theory. 78

The contribution of this paper is in its presentation of an 79 approach for building a DDE model for a microgrid with a 80

single load bus, which allows for stability studies, taking into
consideration the secondary and primary control parameters, the
data network topology, and the communication time delay.

84 The rest of the paper is organized as follows. Section II presents the system control scheme. The proposed small-signal 85 model of the system is presented in Section III. In order to 86 validate the proposed model, simulation and experimental re-87 sults are presented in Section IV. Section V shows that it is a 88 simple task to extend the model over to a system with more 89 90 inverter units. Details about a communication system with constant time delay and the packet loss are presented in Section VI. 91 Section VII presents the conclusion of this study. 92

II. CONTROL SCHEME

The complete scheme of the microgrid considered in this study is presented in Fig. 1. The microgrid is composed of an arbitrary number of inverter units. Each unit presents a hierarchical control, which integrates the inner control, the primary control, and the secondary control [2].

99 The inner control is composed of a current loop and an exter-100 nal voltage loop. In both the loops, proportional resonant (PR) controllers are used in $\alpha - \beta$ reference, considering the ideal 101 function represented by (1), where k_r is the proportional gain, 102 $k_{\rm res}$ is the resonant gain, and ω is the frequency of the res-103 onant pole, which in this case is equal to the grid frequency. 104 105 To keep the resonant pole over the system frequency, the frequency reference provided by the primary control is used for 106 frequency tracking 107

$$G_{\rm PR} = k_r + k_{\rm res} \frac{s}{s^2 + \omega^2}.$$
 (1)

In order to improve system stability, a virtual impedance is considered using the same implementation as presented in [11], where the voltage drops over the virtual impedance $V_{v\alpha}$ and $V_{v\beta}$ are described by (2), being Rv and L_v the virtual resistance and inductance, respectively, and I_{α} and I_{β} the inverter output currents in $\alpha - \beta$ reference

$$\begin{bmatrix} V_{v\alpha} \\ V_{v\beta} \end{bmatrix} = \begin{bmatrix} R_v & -\omega L_v \\ \omega L_v & R_v \end{bmatrix} \begin{bmatrix} I_\alpha \\ I_\beta \end{bmatrix}.$$
 (2)

The primary control is based on the droop control method, 114 which is capable of providing the active and reactive power 115 sharing between the units without using communication, that 116 is, only the local measurements are used. This control level is 117 not capable of guaranteeing the equitable power sharing, since 118 it is affected by the possible discrepancy of parameters between 119 units, such as distinct line impedances. Besides that the load 120 affects the operational frequency and voltage. 121

The frequency and voltage droops used to control each in-122 verter are described by (3) and (4), respectively, these present 123 the gains k_p and k_v . Q_{eq} is a reactive power at the equilibrium 124 point, where the inverter operates with the voltage amplitude 125 $E_{
m eq}$ and a frequency $\omega_{
m eq}$. $P_{
m av}$ and $Q_{
m av}$ are the average ac-126 tive and reactive power measured by a data acquisition system 127 in each inverter. $P_{\rm ref}$ is the power reference of the frequency 128 droop, a differentiated analysis to that presented in [12], where 129

 $P_{\rm ref}$ was a constant and equivalent to the active power $P_{\rm eq}$ at 130 the equilibrium point. Here, it represents an input variable that 131 will be defined by the secondary control 132

L

$$\omega = \omega_{\rm eq} - k_p (P_{\rm av} - P_{\rm ref}) \tag{3}$$

$$E = E_{\rm eq} - k_v (Q_{\rm av} - Q_{\rm eq}).$$
 (4)

The algorithms for active and reactive power measuring use a 133 first-order low-pass filter with cutoff frequency of ω_f , then the 134 relationships between the instantaneous powers (p and q) and 135 average powers $(P_{av} \text{ and } Q_{av})$ measured by the filters are 136

$$P_{\rm av} = \frac{\omega_f}{s + \omega_f} p \tag{5}$$

$$Q_{\rm av} = \frac{\omega_f}{s + \omega_f} q. \tag{6}$$

In a microgrid system, the frequency restoration and the volt-137 age regulation can be implemented by the secondary control, 138 but a communication data link is necessary. In this paper, a de-139 centralized secondary control that performs only the frequency 140 restoration function is presented. The control law implemented 141 in each node of the distributed secondary control is described 142 by (7). The goal of this controller is to eliminate the difference 143 between the power reference of the *i*th inverter to the active 144 power supplied by the others, as presented in Section III-C. 145 The idea can be applied to an arbitrary number of units, but for 146 the sake of simplicity, the model and its validation are presented 147 considering only a three-node system. Results for a 12-inverter 148 system are presented in Section V. The data link network that 149 connects all units presents a single and constant time delay 150

$$P_{\text{refi}} = -k_{\text{pri}} \int \sum_{\substack{j=1\\j\neq i}}^{n} (P_{\text{refi}} - P_{\text{avj}}) dt.$$
(7)

III. SMALL-SIGNAL ANALYSIS

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In order to facilitate one's comprehension of the proposed 152 small-signal model, the math development is divided into five 153 sections. Initially, the small-signal analysis for the primary con-154 trol in each inverter is presented. Considering the admittance 155 nodal equation, the connection between the nodes provided by 156 the power network and the loads is analyzed. The consensus 157 algorithm for the secondary control and the data network is 158 presented, on which all links present the same arbitrary time 159 delay. Finally, the complete model is presented. The respective 160 time delay is considered constant in this paper. This assump-161 tion corresponds to practical real-time digital communication 162 setups, in which interprocessing times of the received packets 163 are made constant by means of buffering and use of sequence-164 numbers/time-stamps contained in the packets [13]. In other 165 words, the delay between two packet arrivals, that inevitably 166 varies, can be assumed to be made constant, and the delay as-167 sumed in the paper is the upper bound of the total allowed delay 168 in the system, made equal for all communication links. Another 169 communication impairment that arises in practice are the packet 170 losses, which can also account for the cases when the packet 171 delay exceeds the upper bound. This impairment is not included 172



Fig. 1. Microgrid scheme.

in the analysis provided in this section. However, in the simulation results presented in Section VI, one also covers this aspect
and shows that for a realistic packet loss probability that can be
expected in practice, there is no significant difference between
the results obtained by simulation and the results obtained by
the small-signal model in which the packet loss probability is
not included. More details are presented in Section VI.

180 A. Small-Signal Model for Each Inverter Under the 181 Primary Control

182 Considering the linearization around the equilibrium point 183 specified by ω_{eq} , E_{eq} , P_{eq} , Q_{eq} , and the measuring filters 184 described by (5) and (6), one can rewrite (3) and (4) as

$$s\Delta\omega = -\omega_f \Delta\omega - k_p \omega_f \Delta p + k_p s\Delta P_{\rm ref} + k_p \omega_f \Delta P_{\rm ref}$$

$$s\Delta E = -\omega_f \Delta E - k_v \omega_f \Delta q.$$
(8)

185 It is important to keep in mind that $P_{\rm ref}$ is a variable, therefore, 186 two extra terms are implied in (8) related to the deviation $\Delta P_{\rm ref}$ 187 and its derivative.

The analytical calculations for the inverter voltage are the same as those presented in [12], those being, the inverter voltage \vec{E} , this can be written using a coordinate system with direct axis and quadrature axis:

$$\vec{E} = e_d + je_q = E\cos(\delta) + jE\sin(\delta)$$
(10)

192 where

$$\delta = \arctan\left(\frac{e_q}{e_d}\right). \tag{11}$$

It is important to emphasize that δ is not the relative phase 193 between output voltages of inverters connected to the system, 194 but it is the absolute inverter voltage phase. Therefore, as one 195 notes in Section IV, a redundant state and an eigenvalue at 196 the origin [12] are implied. Besides this, as developed in [12], 197 the reference voltage of each inverter obtained by the voltage 198 droop is considered as being equal to the inverter output voltage, 199 200 that is, the inverters are considered as ideal voltage sources.

Linearizing (11) for a given e_d and e_q defined by the 201 equilibrium point 202

$$\Delta \delta = \frac{\partial \delta}{\partial e_d} \Delta e_d + \frac{\partial \delta}{\partial e_q} \Delta e_q = m_d \Delta e_d + m_q \Delta e_q \qquad (12)$$

where

$$m_d = -\frac{e_q}{e_d^2 + e_q^2}, \quad m_q = \frac{e_d}{e_d^2 + e_q^2}.$$
 (13)

Since
$$\Delta \omega(s) = s \Delta \delta(s)$$
, then

$$\Delta \omega = m_d \Delta \dot{e_d} + m_q \Delta \dot{e_q}. \tag{14}$$

Considering that (15) defines the amplitude of the inverter 205 voltage, its respective linearization around the equilibrium point 206 can be obtained by (16) 207

$$E = |\vec{E}| = \sqrt{e_d^2 + e_q^2}$$
 (15)

$$\Delta E = n_d \Delta e_d + n_q \Delta e_q \tag{16}$$

where

$$n_d = \frac{e_d}{\sqrt{e_d^2 + e_q^2}}, \quad n_q = \frac{e_q}{\sqrt{e_d^2 + e_q^2}}$$
 (17)

which implies that

$$s\Delta E = n_d s\Delta e_d + n_q s\Delta e_q. \tag{18}$$

Solving the equation system formed by (9), (14), (16), and 210 (18), isolating the derivatives $s\Delta e_d$ and $s\Delta e_q$, and considering 211 (8), one obtains the state equation (19), which describes the 212 behavior of the states $\Delta \omega$, Δe_d , and Δe_q of the *i*th inverter in 213 the neighborhood of the equilibrium point. As one can see, the 214 input of the state equation includes a term which depends on the 215 deviation of apparent power that the inverter is supplying, and 216 the all other terms are related to the reference average power 217 deviation and its derivative 218

$$\begin{bmatrix} \Delta \omega_{i} \\ \Delta \dot{e_{di}} \\ \Delta \dot{e_{qi}} \end{bmatrix} = \begin{bmatrix} M_{i} \end{bmatrix} \begin{bmatrix} \Delta \omega_{i} \\ \Delta e_{di} \\ \Delta e_{qi} \end{bmatrix} + \begin{bmatrix} B_{si} \end{bmatrix} \begin{bmatrix} \Delta p_{i} \\ \Delta q_{i} \end{bmatrix}$$
$$+ \begin{bmatrix} B_{ri} \end{bmatrix} \begin{bmatrix} \Delta P_{refi} \end{bmatrix} + \begin{bmatrix} B_{di} \end{bmatrix} \begin{bmatrix} \Delta \dot{P}_{refi} \end{bmatrix}$$
(19)

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204

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Fig. 2. Parallel-connected inverters in an islanded microgrid.

219 or representatively

$$\begin{bmatrix} \Delta \dot{X}_{si} \end{bmatrix} = [M_i] [\Delta X_{si}] + [B_{si}] [\Delta S_i] + [B_{ri}] [\Delta P \operatorname{ref}_i] + [B_{di}] [\Delta \dot{P} \operatorname{ref}_i]$$
(20)

220 where

$$\begin{bmatrix} M_i \end{bmatrix} = \begin{bmatrix} -\omega_f & 0 & 0 \\ \frac{n_q}{m_d n_q - m_q n_d} & \frac{m_q n_d \omega_f}{m_d n_q - m_q n_d} & \frac{m_q n_q \omega_f}{m_d n_q - m_q n_d} \\ \frac{n_d}{m_q n_d - m_d n_q} & \frac{m_d n_d \omega_f}{m_q n_d - m_d n_q} & \frac{m_d n_q \omega_f}{m_q n_d - m_d n_q} \end{bmatrix}$$

$$\begin{bmatrix} -k_p \omega_f & 0 \\ 0 & \frac{k_v m_q \omega_f}{m_d n_q - m_q n_d} \\ 0 & \frac{k_v m_d \omega_f}{m_q n_d - m_d n_q} \end{bmatrix}$$

$$\begin{bmatrix} B_{ri} \end{bmatrix} = \begin{bmatrix} k_p \omega_f \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} R_{ri} \end{bmatrix} = \begin{bmatrix} k_p \omega_f \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 22 \end{pmatrix}$$

$$\begin{bmatrix} B_{ri} \end{bmatrix} = \begin{bmatrix} k_p \omega_f \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 23 \end{pmatrix}$$

B. Small-Signal Model for the Entire Microgrid Under the Primary Control

The principle used to develop the model can be applied to a microgrid with an arbitrary number of nodes. However, in order to facilitate this development, an islanded microgrid will be examined; this is composed of three inverters connected in parallel to a common load bus, as visualized in Fig. 2.

In order to simplify the power network analysis, the effect of frequency variation over the frequency-dependent loads will



Fig. 3. Relation between the common load bus and regular networked microgrids.

be neglected, that is, the network reactances will be considered 230 constant. This assumption can be considered reasonable because 231 the droop controllers are designed to apply low deviations along 232 the system frequency. It is important to keep in mind that the 233 higher the system frequency range, the lower the precision of 234 this modeling will be. 235

Therefore, neglecting the frequency variations, the nodal admittance equation for the islanded microgrid presented in Fig. 2 237 can be obtained considering the regular networked microgrid 238 shown in Fig. 3, where the gray admittances are null and there 239 is no inverter connected in the load bus. 240

Hence, the nodal equation of the islanded microgrid is (25), 241 which in its representative form is (26) 242

$$\begin{bmatrix} \vec{I}_{1} \\ \vec{I}_{2} \\ \vec{I}_{3} \\ \vec{I}_{4} \end{bmatrix} = \begin{bmatrix} Y_{ad} & 0 & 0 & -Y_{ad} \\ 0 & Y_{bd} & 0 & -Y_{bd} \\ 0 & 0 & Y_{cd} & -Y_{cd} \\ -Y_{da} & -Y_{db} & -Y_{dc} & Y_{da} + Y_{db} + Y_{dc} + Y_{dd} \end{bmatrix}$$

$$\begin{bmatrix} \vec{E}_{1} \\ \vec{E}_{2} \\ \vec{E}_{3} \\ \vec{E}_{4} \end{bmatrix}$$

$$(25)$$

 $\begin{bmatrix} \vec{I_{1234}} \end{bmatrix} = \begin{bmatrix} Y \end{bmatrix} \begin{bmatrix} \vec{E_{1234}} \end{bmatrix}.$ (26)

Since there is no power injection on node 4 and all the power 243 consumption is represented by the respective shunt load included in the admittance matrix Y, the voltage at node 4 is a 245 linear combination of the voltage on the other three nodes. Thus, 246 we can eliminate node 4 by considering (27), which is derived 247 248 from (25), considering $\vec{I}_4 = 0$

$$\begin{bmatrix} \vec{E_1} \\ \vec{E_2} \\ \vec{E_3} \\ \vec{E_4} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ Y_{da}/Y_t & Y_{db}/Y_t & Y_{dc}/Y_t \end{bmatrix} \begin{bmatrix} \vec{E_1} \\ \vec{E_2} \\ \vec{E_3} \end{bmatrix}$$
(27)

249 or representatively

$$\begin{bmatrix} \vec{E_{1234}} \end{bmatrix} = \begin{bmatrix} T_{4to3} \end{bmatrix} \begin{bmatrix} \vec{E_{123}} \end{bmatrix}$$
(28)

250 where $Y_t = Y_{da} + Y_{db} + Y_{dc} + Y_{dd}$.

Then, the admittance nodal equation of the three-inverter system shown in Fig. 2 is

$$\begin{bmatrix} \vec{I_1} \\ \vec{I_2} \\ \vec{I_3} \end{bmatrix} = \begin{bmatrix} Y_s \end{bmatrix} \begin{bmatrix} \vec{E_1} \\ \vec{E_2} \\ \vec{E_3} \end{bmatrix}$$
(29)

- where the matrix $[Y_s]$ is the submatrix (1:3, 1:3) of the product $[Y][T_{4to3}]$.
- 255 Converting the complex equation (29) to its real form:

$$\begin{bmatrix} i_{d1} \\ i_{q1} \\ i_{d2} \\ i_{q2} \\ i_{d3} \\ i_{q3} \end{bmatrix} = \begin{bmatrix} G_{11} & -B_{11} & G_{12} & -B_{12} & G_{13} & -B_{13} \\ B_{11} & G_{11} & B_{12} & G_{12} & B_{13} & G_{13} \\ G_{21} & -B_{21} & G_{22} & -B_{22} & G_{23} & -B_{23} \\ B_{21} & G_{21} & B_{22} & G_{22} & B_{23} & G_{23} \\ G_{31} & -B_{31} & G_{32} & -B_{32} & G_{33} & -B_{33} \\ B_{31} & G_{31} & B_{32} & G_{32} & B_{33} & G_{33} \end{bmatrix} \begin{bmatrix} e_{d1} \\ e_{d2} \\ e_{d2} \\ e_{d3} \\ e_{q3} \end{bmatrix}$$
(30)

256 where

 $Y_{sij} = G_{ij} + jB_{ij}.$ (31)

Linearizing (30), one obtains

$$[\Delta i] = [Y_s] [\Delta e] . \tag{32}$$

Considering the expressions used for calculating the active and reactive power for the *i*th inverter using a d-q orthogonal coordinate system, one has

$$p_i = e_{\mathrm{di}} i_{\mathrm{di}} + e_{qi} i_{qi} \tag{33}$$

$$q_i = e_{\mathrm{di}}i_{qi} - e_{qi}i_{\mathrm{di}}.\tag{34}$$

Considering the system presented in Fig. 2 and linearizing (33) and (34), one obtains (35), which describes the deviations

$$\begin{bmatrix} \Delta p_{1} \\ \Delta q_{1} \\ \Delta p_{2} \\ \Delta q_{2} \\ \Delta q_{2} \\ \Delta q_{3} \end{bmatrix} = \begin{bmatrix} i_{d1} & i_{q1} & 0 & 0 & 0 & 0 \\ i_{q1} & -i_{d1} & 0 & 0 & 0 & 0 \\ 0 & 0 & i_{d2} & i_{q2} & 0 & 0 \\ 0 & 0 & i_{q2} & -i_{d2} & 0 & 0 \\ 0 & 0 & 0 & 0 & i_{d3} & i_{q3} \\ 0 & 0 & 0 & 0 & 0 & i_{q3} & -i_{d3} \end{bmatrix} \begin{bmatrix} \Delta e_{d1} \\ \Delta e_{q1} \\ \Delta e_{d2} \\ \Delta e_{d2} \\ \Delta e_{d3} \\ \Delta e_{q3} \end{bmatrix}$$
$$+ \begin{bmatrix} e_{d1} & e_{q1} & 0 & 0 & 0 & 0 \\ -e_{q1} & e_{d1} & 0 & 0 & 0 & 0 \\ 0 & 0 & -e_{q2} & e_{q2} & 0 & 0 \\ 0 & 0 & 0 & 0 & e_{d3} & e_{q3} \\ 0 & 0 & 0 & 0 & -e_{q3} & e_{d3} \end{bmatrix} \begin{bmatrix} \Delta i_{d1} \\ \Delta i_{d2} \\ \Delta i_{d2} \\ \Delta i_{d3} \\ \Delta i_{q3} \end{bmatrix}.$$
(35)

Equation (35) can be written representatively as

$$\Delta S] = [I_s][\Delta e] + [E_s][\Delta i]. \tag{36}$$

(38)

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$$\Delta S] = ([I_s] + [E_s][Y_s]) [\Delta e]. \tag{37}$$

The state equation that represents the system shown in Fig. 2267can be derived from (19), this represents each inverter separately.268Thus, resulting in the state equation269

$$\begin{split} \begin{array}{c} \dot{\Delta \omega}_{1} \\ \Delta \dot{e}_{d1} \\ \dot{\Delta \dot{e}_{d1}} \\ \dot{\Delta \dot{e}_{d1}} \\ \dot{\Delta \dot{e}_{q1}} \\ \dot{\Delta \dot{\omega}_{2}} \\ \dot{\Delta \dot{e}_{d2}} \\ \dot{\Delta \dot{e}_{d3}} \\ \dot{\Delta e}_{d3} \\ \dot{\Delta e}_{ref1} \\ \dot{\Delta \dot{P}_{ref2}} \\ \dot{\Delta \dot{P}_{ref3}} \\ \dot{\Delta \dot{P}_{ref3}} \\ \dot{\Delta \dot{P}_{ref3} \\ \dot{\Delta P}_{ref3} \\ \dot{\Delta P}_{re$$

270 or representatively as

$$\begin{aligned} [\Delta \dot{X_s}] &= [M_s][\Delta X_s] + [B_{ss}][\Delta S] \\ &+ [B_{rs}][\Delta P_{\text{refs}}] + [B_{ds}][\Delta \dot{P}_{\text{refs}}]. \end{aligned} (39)$$

Then, combining (37) and (39)

$$[\Delta \dot{X}_s] = [M_s][\Delta X_s] + [B_{ss}]([I_s] + [E_s][Y_s])[\Delta e] + [B_{rs}][\Delta P_{\text{refs}}] + [B_{ds}][\Delta \dot{P}_{\text{refs}}].$$
(40)

272 One observes that the relation between Δe and the state vector 273 ΔX_s is

274 which representatively is

$$[\Delta e] = [K_e][\Delta X_s].$$

(42)

Substituting (42) for (40), then

$$[\Delta \dot{X}_{s}] = [M_{s}][\Delta X_{s}] + [B_{ss}]([I_{s}] + [E_{s}][Y_{s}])[K_{e}][\Delta X_{s}] + [B_{rs}][\Delta P_{refs}] + [B_{ds}][\Delta \dot{P}_{refs}].$$
(43)

After some algebraic manipulations, we can obtain the state equation (44), which describes the behavior of the system considering a given initial condition in the neighborhood of the equilibrium point and the input deviations ΔP_{refs} and its derivatives. If the inputs of the state equation are considered null, the small-signal analysis falls into the particular case presented in [12], where a secondary control level is not considered

$$\begin{aligned} [\Delta \dot{X_s}] &= ([M_s] + [B_{ss}] ([I_s] + [E_s][Y_s]) [X_e]) [\Delta X_s] \\ &+ [B_{rs}] [\Delta P_{\text{refs}}] + [B_{ds}] [\Delta \dot{P}_{\text{refs}}]. \end{aligned}$$
(44)

283 *C. Small-Signal Model for the Entire Microgrid Under the* 284 *Secondary Control*

The goal of the secondary control in this paper is to keep the system frequency over the nominal value in spite of the load variation, but concomitantly keeping the equitable active power sharing, that is, its function is the frequency restoration. Thus, in order to perform this function, the secondary control modifies the power reference $P_{\text{ref}i}$ of the frequency droop in each inverter.

The islanded microgrid presented in Fig. 2 can be considered as a power network where there is a consensus to provide the power sharing, and where the frequency and voltage droops are the distributed controllers. This consensus keeps the system stable and in the steady state all inverters operate at the same



Fig. 4. Directed graph for secondary control.

frequency, not necessarily the nominal frequency. The load sharing and the equilibrium frequency depend on the load and the setpoints of the reference power in each inverter. Thus, another network will be used for implementing the frequency restoration that being a data link network. This new network can be presented in several topologies. A strongly connected example [14] is shown in Fig. 4, where only three inverters are considered. 303

The data link network in Fig. 4 is a directed graph where the 304 inverters are the vertices and the directional data links are the 305 edges. In this paper, a not strongly connected directed network 306 will be considered, so the data links shown in gray will be 307 neglected. Thus, the adjacency matrix A_g and the degree matrix 308 D_g of the directed graph presented in Fig. 4 are 309

$$[A_g] = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \quad [D_g] = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$
(45)

It is possible to implement different types of consensus algorithm into the secondary control level. For example, to implement the active power sharing an average-consensus algorithm can be used. This kind of consensus can be represented by (46) [14]–[16], where x is the state vector of the system, **L** is the Laplacian matrix of the graph defined by (47) 313

$$\dot{x} = -C(\mathbf{D}_{\mathbf{g}} - \mathbf{A}_{\mathbf{g}})x = -C\mathbf{L}x\tag{46}$$

$$\mathbf{L} = \mathbf{D}_{\mathbf{g}} - \mathbf{A}_{\mathbf{g}}.$$
 (47)

In this case, the distributed control law can be represented by 316 (48), considering an unweighted graph, where C is a constant 317 called the diffusion constant, which affects the convergence 318 rate [16] 319

$$P_{\text{refi}} = -C \int \sum_{\substack{j=1\\j\neq i}}^{n} (P_{\text{avi}} - P_{\text{avj}}) dt.$$
(48)

The consensus algorithm implemented using the distributed 320 controller represented by (48) is capable of keeping the equitable 321 active power sharing in spite of load variation, but it does not 322 guarantee the operation at the nominal frequency. Therefore, 323 in order to meet both requirements, in this paper the control 324 law for the secondary control implemented in each inverter is 325 described by (49), this corresponds to the distributed controller 326 implemented in the multiagent system represented by the graph 327 in Fig. 4, where k_{pri} is the integral gain of the controller in each 328 329 inverter

$$P_{\rm refi} = -k_{\rm pri} \int \sum_{\substack{j=1\\j\neq i}}^{n} (P_{\rm refi} - P_{\rm avj}) dt.$$
(49)

The terms to be included in the summation presented in (49) 330 depend on the topology of the data link network, that is, the 331 existence of an outgoing edge from vertex j, which is incident 332 on vertex *i*, implying the term $(P_{refi} - P_{avj})$ in the summation. 333 It is assumed that all vertex has at least one incoming edge, 334 which implies that all distributed controllers have at least one 335 term in the summation. Then, considering the data link network 336 as the graph described by the green line edges (see Fig. 4), the 337 338 linearization of the control law shown in (49) is

$$\begin{bmatrix} \Delta \dot{P}_{\text{ref1}} \\ \Delta \dot{P}_{\text{ref2}} \\ \Delta \dot{P}_{\text{ref3}} \end{bmatrix} = -\begin{bmatrix} k_{pr1} & 0 & 0 \\ 0 & k_{pr2} & 0 \\ 0 & 0 & k_{pr3} \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \Delta P_{\text{ref1}} \\ \Delta P_{\text{ref2}} \\ \Delta P_{\text{ref3}} \end{bmatrix} \\ + \begin{bmatrix} k_{pr1} & 0 & 0 \\ 0 & k_{pr2} & 0 \\ 0 & 0 & k_{pr3} \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \Delta P_{av1} \\ \Delta P_{av2} \\ \Delta P_{av3} \end{bmatrix}$$

or in its representative form

$$[\Delta \dot{P}_{\rm refs}] = -[k_{\rm prs}][D_g][\Delta P_{\rm refs}] + [k_{\rm prs}][A_g][\Delta P_{\rm avs}].$$
(51)

340 If a distinct graph is considered with different edges from those highlighted in Fig. 4, to obtain a new control law, it is 341 necessary only to change the degree matrix D_g and adjacency 342 matrix A_g in (51). It is important to emphasize that no loop is 343 considered in the network graph, that is, the term $P_{\text{ref}i} - P_{\text{avi}}$ is 344 not presented in the summation of (49). This would be an option 345 346 for keeping the nominal frequency in case of only one inverter or vertex remaining in operation, but in fact, no consensus is 347 necessary if only one vertex is presented, since the nominal 348 frequency could be imposed by the controller. Thus, as the loops 349 are not considered in simple graphs, they will not be considered 350 here either. 351

352 D. Time Delay on the Secondary Control

Equation (51) represents the distributed controller in each inverter if no time delay is present in the data communication link. However, in this paper, a constant time delay t_d will be considered in each data communication link represented by the edges on the network graph. Then, (52) must replace (51)

$$\begin{split} [\Delta P_{\rm refs}(t)] &= -[k_{\rm prs}][D_g][\Delta P_{\rm refs}(t)] \\ &+ [k_{\rm prs}][A_g][\Delta P_{\rm avs}(t-t_d)]. \end{split} \tag{52}$$

Substituting (52) in (44), it is possible to eliminate the input derivative term in the small-signal model for the islanded microgrid under the primary level control. Then, after some algebraic manipulations

$$\begin{aligned} [\Delta X_s(t)] &= ([M_s] + [B_{ss}] ([I_s] + [E_s][Y_s]) [K_e]) [\Delta X_s(t)] \\ &+ ([B_{rs}] - [B_{ds}][k_{prs}][D_g]) [\Delta P_{refs}(t)] \\ &+ [B_{ds}][k_{prs}][A_g] [\Delta P_{avs}(t - t_d)]). \end{aligned}$$
(53)

It is important to keep in mind that the states in vector ΔX_s 362 and vector ΔP_{refs} imply local feedbacks and no data communication link is necessary. Only the inverter output power measurement is sent from one vertex to the other using the data 365 communication link, which is affected by the time delay t_d . 366

According to (5) the relation between the deviations from 367 average active power and instantaneous power in each inverter 368 that integrates the network is 369

$$\begin{bmatrix} \Delta P_{av1} \\ \Delta \dot{P}_{av2} \\ \Delta \dot{P}_{av3} \end{bmatrix} = -\begin{bmatrix} \omega_{f1} & 0 & 0 \\ 0 & \omega_{f2} & 0 \\ 0 & 0 & \omega_{f3} \end{bmatrix} \begin{bmatrix} \Delta P_{av1} \\ \Delta P_{av2} \\ \Delta P_{av3} \end{bmatrix} + \begin{bmatrix} \omega_{f1} & 0 & 0 \\ 0 & \omega_{f2} & 0 \\ 0 & 0 & \omega_{f3} \end{bmatrix} \begin{bmatrix} \Delta p_1 \\ \Delta p_2 \\ \Delta p_3 \end{bmatrix}$$
(54)

or representatively

(50)

$$\Delta \dot{P}_{\rm avs}(t)] = -[\omega_{fs}][\Delta P_{\rm avs}(t)] + [\omega_{fs}][\Delta p_s(t)].$$
(55)

It is possible to represent the vector Δp_s as a function of the 371 vector ΔS , thus it follows that 372

$$\begin{bmatrix} \Delta p_1 \\ \Delta p_2 \\ \Delta p_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \Delta p_1 \\ \Delta q_1 \\ \Delta p_2 \\ \Delta q_2 \\ \Delta q_2 \\ \Delta p_3 \\ \Delta q_3 \end{bmatrix}$$
(56)

which in its representative form is

$$[\Delta p_s] = [k_{ps}][\Delta S]. \tag{57}$$

Applying (37), (42), and (57) into (55), we obtain

$$+[E_s][Y_s])[K_e][\Delta X_s(t)].$$
 (58)

E. Small-Signal Model for the Entire System—A DDE 375 Model 376

 $[\Delta \dot{P}_{avs}(t)] = -[\omega_{fs}][\Delta P_{avs}(t)] + [\omega_{fs}][k_{ps}]([I_s])$

Considering (52), (53), and (58), it is possible to write the 377 state equation (59) shown at the bottom of the next page which 378 corresponds to the small-signal model for the whole system, 379 where the vectors ΔX_s , $\Delta P_{\rm avs}$, and $\Delta P_{\rm refs}$ are the components 380 of the new state vector ΔX . 381

The small-signal model represented by (59) can be expressed 382 representatively as (60), where $\phi(t)$ is the initial history function. Equation (60) belongs to the class of DDE with a single 384

370

373

374

361

385 delay [17]

$$\begin{cases} \Delta \dot{X}(t) = \mathbf{A} \Delta X(t) + \mathbf{A}_{\mathbf{d}} \Delta X(t - t_d), \ t > 0\\ \Delta X(t) = \phi(t), \qquad t \in [-t_d, 0]. \end{cases}$$
(60)

The characteristic equation for the system described in (60) is

$$\det\left(-s\mathbf{I} + \mathbf{A} + \mathbf{A}_{\mathbf{d}}e^{-\mathrm{st}_{d}}\right) = 0.$$
(61)

Equation (61) has infinite solutions, which implies that the systems represented by (60) have an infinite number of eigenvalues [18]. Different approaches have been proposed to handle DDE's, considering analytical solutions [19] or numerical solutions [20]. The spectrum of scalar single delay DDE's can be determined 393 using the Lambert W function [19]. The results from the scalar 394 case can be extended to the nonscalar cases when the matrices 395 A and A_d are simultaneously triangularizable, otherwise, the 396 solution based on the Lambert W function is not applicable 397 to the arbitrary DDE [17]. Unfortunately, the matrices A and 398 A_d of the system expressed by (59) are not simultaneously 399 triangularizable. 400

In this study, in order to analyze the spectrum of the single delay DDE expressed by (59), a numerical approach encountered 402 in [21] is used, with the respective Matlab code as presented in [17]. The solution of the DDE is obtained by the Matlab dde23 404 function. 405

(59)

TABLE I SYSTEM PARAMETERS AND EQUILIBRIUM POINT

Variable	Value	Unit
Inverter LC filter—inductor	1.8	$m\mathrm{H}$
Inverter LC filter-capacitor	27.0	μH
Load $1 = \text{Load } 2$	119 + j0	Ω
Line transmission-inverter 1	0.2 + j1.131	Ω
Line transmission—inverters 2 and 3	0.1 + j0.566	Ω
Measuring filter cutoff frequency		
$(\omega_{f1} = \omega_{f2} = \omega_{f3})$	31.4159	rad/s
Frequency-droop coefficient		
$(k_{p1} = k_{p2} = k_{p3})$	0.0004	rad/s/W
Voltage-droop coefficient		
$(k_{v1} = k_{v2} = k_{v3})$	0.0005	V/var
Frequency restoration integral gain		
$(k_{pr1} = k_{pr2} = k_{pr3})$	5	W/s
Voltage PR controller		
proportional gain (k_{rv})	0.06	A/V
resonant gain (k_{resv})	40.0	A/V/s
Current PR controller		
proportional gain (k_{ri})	10.0	V/A
resonant gain (k_{resi})	50.0	V/A/s
Virtual resistance (R_v)	1.5	Ω
Virtual inductance (L_v)	4	$m\mathrm{H}$
Apparent power		
inverter 1 $(P_1 + jQ_1)$	442.5 - j9.7	VA
inverter 2 $(P_2 + jQ_2)$	442.5 + j8.6	VA
inverter 3 $(P_2 + jQ_2)$	442.5 + j8.6	VA
Inverter 1 output voltage $(\vec{E_1})$	230.0∠0	V (rms), rad
Inverter 2 output voltage $(\vec{E_2})$	$229.99 \angle -0.0018$	V (rms), rad
Inverter 3 output voltage $(\vec{E_3})$	$229.99 \angle -0.0018$	V (rms), rad
Nominal frequency (ω)	314.159	rad/s
Switching frequency	10	kHz

IV. SIMULATION AND EXPERIMENTAL RESULTS

In order to validate the proposed small-signal model, a num-407 ber of simulations and experiments were performed consider-408 ing the islanded microgrid as presented in Fig. 2, defined by 409 the parameters shown in Table I. Each node is composed of 410 a three-phase inverter with the control scheme as presented in 411 Section II. The reader has to keep in mind that the inverter 412 internal controllers are neglected in the proposed small-signal 413 model. The value of transmission line impedance for the inverter 414 1 was considered twice the value of the impedance of the other 415 inverters for increasing the degree of generalization. 416

The data communication links used in the simulations are represented by the highlighted edges shown in Fig. 4. The time delay in simulations were implemented using a pure delay block $e^{-t_d s}$.

Each results' graph presents four curves identified as *Model*, *Sim1*, *Sim2*, and *Exp* in the graph legend, which corresponds to the following results:

424 *Model:* This curve corresponds to the solution of the DDE, 425 which is a linear time-invariant system with delay in state 426 feedback. Since the respective DDE is a small-signal model, 427 it provides the deviations ΔX , which must be added to the 428 equilibrium point value to obtain the variable behavior during 429 the transient ($X = X_{eq} + \Delta X$).

Sim1: This curve is a numerical solution of the nonlinear system provided by a circuit simulator. In this case, all control



Fig. 5. Lab oratory setup.

blocks presented in Fig. 1 are implemented, except the internal controllers, the virtual impedances and the *LC* filters, that is, the inverter reference voltage is equal to the inverter output voltage, and thus each inverter is an ideal voltage source.

- Sim2: This curve is a numerical solution of the nonlinear sys-
tem. However, in this case, the PR controllers, the virtual
impedances and the LC output filters were included in the
circuit simulator. The effect of the pulse width modulation
was neglected.438
439
- *Exp*: This curve is an experimental result obtained from the lab 441 oratory prototype, as seen in Fig. 5. The inner loops, pri-442 mary and secondary control loops were modeled in the Mat-443 lab/Simulink and then the respective code was programmed 444 into a dSPACE 1006 to control the three Danfoss FC302 445 converters. The three-unit system was powered by a Rega-446 tron GSS DC power supply. Finally, the output power and 447 the frequency of the converters were locally monitored by the 448 dSPACE Control Desk. The inverter switching frequency was 449 10 kHz. 450

In order to maintain the same comparison basis in our analysis 451 and as the virtual impedance represents an element connected 452 in series with the actual line impedance, both values were added 453 to represent the inverter connection impedance to obtain the 454 *Model* and *Sim1* results. This was due to the fact that the virtual 455 impedance concept was only included in the inverter controllers 456 for *Sim2* and *Exp* results. 457

The results correspond to a transient situation between two 458 steady states, defined by Load 1 and Load 2 (see Table I). Ini-459 tially the system is considered as being in the steady state, as 460 defined by the connection of Load 1. This situation implies 461 a constant historical function for all states ($\Delta X(t) = \phi(t) =$ 462 $constant, t \in [-t_d, 0]$) and a load flow is implemented to cal-463 culate this initial condition. Then, Load 2 is connected in paral-464 lel with Load 1 and the system moves to the new steady state, 465 which consists of the equilibrium point shown in Table I. A new 466 load flow is implemented to calculate this equilibrium point, 467 where the parameters are used to calculate the small-signal 468 model constants. 469



Fig. 6. System frequency. (a) $\omega_1, t_d = 20$ ms. (b) $\omega_2, t_d = 20$ ms. (c) $\omega_3, t_d = 20$ ms. (d) $\omega_1, t_d = 20$ ms. (e) $\omega_2, t_d = 200$ ms. (f) $\omega_3, t_d = 200$ ms.

Fig. 6 shows the behavior of the frequency of the three invert-470 471 ers during the transient, considering two distinct values for the time delay t_d in the data communication link. The frequencies 472 were obtained by the small-signal model, by the simulations 473 (Sim1 = ideal inverters, Sim2 = real inverters), as well as by 474 the experiment. The calculations for the model were obtained 475 through the dde23 Matlab function. One notes there exists a 476 477 perfect agreement between the model and simulation (Sim1), where the inverter internal dynamics is neglected. Even consid-478 ering the inverter internal dynamics, the agreement between the 479 model, simulation (Sim2) and the experimental result (Exp) is 480 very good, which shows that the inverter internal dynamics does 481 482 not affect the interaction between nodes significantly and it is reasonable, therefore, to neglect this interaction in the stability 483 studies of the microgrid. 484

When the load is changed, the primary control responds fast 485 and moves the frequency of the system in order to keep the 486 487 system stable and to provide load sharing. The secondary control provides the frequency restoration to the nominal value as 488 we can see in Fig. 6. At the time delay $t_d = 200$ ms, the sys-489 tem almost achieves the new equilibrium frequency, and then, 490 even with this delay, the secondary control starts the frequency 491 restoration. 492

The root locus plot of the system considering the time delay t_d variation from 0 to 200 ms is presented in Fig. 7, which is focused upon the rightmost eigenvalues. The finite set of eigenvalues represented by the blue stars corresponds to the system spectrum if no time delay is considered, then in this case, the system is represented by an ODE as shown by (62), where the $\phi(t_o)$ is the initial condition and the historical function



Fig. 7. Root locus computed with Matlab code from [17] and the number of Chebychev nodes ${\cal N}=20.$

is no longer necessary

$$\begin{cases} \Delta \dot{X}(t) = (\mathbf{A} + \mathbf{A}_{\mathbf{d}}) \Delta X(t), \ t > 0\\ \Delta X(t_o) = \phi(t_o), \qquad t_o = 0. \end{cases}$$
(62)

500

This root locus in Fig. 7 corresponds to a numerical approximation, as it is an arduous task to determine the exact values 502 of eigenvalues in DDE systems, mainly in the case of the presented model where A and A_d do not commute, that is, they are not simultaneously triangularizable. An error analysis for this numerical approach is presented in [17] for a system with an 506



Fig. 8. Twelve-inverter system frequency—Model $t_d = 200$ ms.

analytical solution, then it is expected that the root locus presented in Fig. 7 corresponds to a well-defined accuracy. It is noted that the system maintains stability in spite of the variation of the time delay over the considered range. As the large time delay in communication implies a low exponential decay in the system's answer, the low-frequency modes move toward imaginary axis on the root locus graph, but they do not cross it.

V. EXTENSION OF THE PROPOSED MODEL

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For the sake of simplicity, a three-inverter system was considered for presenting the math developed for the proposed model and the respective validation by simulation and experimental results, as presented in Sections III and IV, respectively. The proposed model can be extended in a straightforward manner to represent a microgrid with more inverters connected. For each new inverter, the model order will be increased by 5.

In order to show an example of the model extension, in this Section, a 12-inverter system was considered with the same droop gains presented in Table I. In order to increase the degree of generalization, each inverter was connected to a distinct transmission line, with inductances in the range of 0.95 to 3.6 mH. Across all results presented in this Section, a communication time delay t_d of 200 ms was considered.

In Fig. 8, the frequency of each inverter is shown during the frequency restoration process, when $Load2(40 \Omega)$ is connect in parallel with $Load1(40 \Omega)$. This is the result of the respective 60th order model. In this case, a regular data communication network was used, that is, all edges in the respective 12 vertex graph are presented, which implies a fast convergence in the consensus algorithm.

536 VI. CONSTANT TIME DELAY AND PACKET LOSS IN A 537 COMMUNICATION SYSTEM

In practice, it can be expected that a digital communication system will be used for the communication among the units. In this case, besides measurement information, packets also carry control information, which typically includes sequence



Fig. 9. Twelve-inverter system frequency—simulation parameters: communication sampling rate: 50 Hz; packet loss probability: 10^{-2} .

numbers and/or timestamps [13], [22]. By means of buffering 542 and inspecting sequence-numbers/timestamp information, one 543 can ensure that the receiver processes the packets received from 544 its peers in the order that enforces equal delay on the links. 545 This technique is commonly used in real-time communication 546 systems, like PDH, SDH, VoIP, teleconferencing, etc. Further, 547 the buffer delay is simply incorporated in the total delay. In 548 this sense, the delay used in the analysis in the paper could be 549 considered as an upper limit of the total delay, made equal for 550 all links by using standard communication techniques. 551

A series of experiments conducted in our lab oratory showed 552 that, for an off-the shelf WiFi equipment, the duration of the 553 packet containing measurements is markedly less than 1 ms, 554 and the packet generation rate is of the order 1-5 ms, which 555 includes the transition from receiving to transmitting state. In 556 a scenario with ca., ten stations, all-to-all communication and 557 scheduled access, this implies that the frequency of secondary 558 control can be made of the order of 50 - 100 Hz. 559

In order to evaluate the performance of the secondary con-560 trol considering an actual communication link, the 12-inverter 561 system presented in Section V was simulated in the same tran-562 sient situation. The sampling frequency of the secondary control 563 was tuned to 50 Hz, which is a rate that could be supported by 564 off-the shelf equipment and considered communication setup. It 565 was also incorporated a packet loss probability of 10^{-2} , which 566 can be assumed to hold for 2 Mb/s WiFi links in rural scenarios 567 [23]. Fig. 9 shows the angular frequency of each inverter of the 568 12-inverter system in the scenario described above. Compared 569 with the result presented in Section V, Fig. 8, one observes a 570 good agreement. This last result shows that the usage of a realis-571 tic communication system, including the techniques mentioned 572 above, implies no significant difference in the system behavior. 573

VII. CONCLUSION 574

This paper has presented the small-signal analysis for a microgrid system using the droop control method in the primary control and a frequency restoration function in the secondary 577 control, where the respective communication data link was sub-mitted to a single and constant time delay.

The secondary control was implemented in a distributed mode, considering a consensus algorithm. The data network can be considered in different configurations, which can be easily set into the proposed small-signal model.

The proposed small-signal model allowed for the stability analysis of a given microgrid, and it was possible to conclude that a single and constant time delay in the communication data link does not cause instability over the presented system.

In short, this study presents a starting point for future research, 588 since it shows a direction for dealing with time delays in the sec-589 ondary control of microgrids when one considers more realistic 590 data communication links. The assumption of a constant time 591 delay is reasonable, even when an actual communication system 592 is used. The typical sampling rate and the packet loss observed 593 in these communication systems do not affect the performance 594 of the secondary control in the studied microgrid. 595

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Ernane Antônio Alves Coelho (M'12) received 671 the B.S. degree in electrical engineering from 672 the Federal University of Minas Gerais (UFMG), 673 Belo Horizonte, Brazil, the M.S. degree from 674 the Federal University of Santa Catarina, 675 Florianopolis, Brazil, and the Ph.D. degree 676 from the UFMG in 1987, 1989, and 2000, 677 678 respectively.

In 1989, he joined the Electrical Engineering 679 Faculty at the Federal University of Uberlândia 680 (UFU), Uberlândia, Brazil, where he is currently 681

a Full Professor. In 2014, he was a Visiting Professor with the 682 Microgrid Research Group, Department of Energy Technology, Aalborg 683 University, Aalborg East, Denmark. He has been working with the Power 684 Electronics Research Group, UFU. His research interests in-685 PV and clude power-factor correction. fuel cell svstems. 686 microarid modeling, and digital control by microcontrollers 687 and DSP's. 688

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Dan Wu received the B.S. and M.S. de-690 grees in electrical engineering from the Beijing 691 Institute of Technology, Beijing, China, in 2009 692 and 2012, respectively. In 2015, she received the 693 Ph.D. degree from Department of Energy Tech-694 nology, Aalborg University, Aalborg East, Den-695 mark, where she was a Member of the Microgrid 696 Research Group 697

Her research interests include modeling 698 and control of power electronic converters, 699 coordinated control of microgrids, distributed 700

generation systems, and smart grids.

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Josep M. Guerrero (S'01–M'04–SM'08–F'15) received the B.S. degree in telecommunications engineering, the M.S. degree in electronics engineering, and the Ph.D. degree in power electronics from the Technical University of Catalonia, Barcelona, Spain, in 1997, 2000, and 2003, respectively.

Since 2011, he has been a Full Professor with the Department of Energy Technology, Aalborg University, Aalborg East, Denmark, where he is responsible for the Microgrid Research Pro-

gram. His research interests include different microgrid aspects, includ ing power electronics, distributed energy-storage systems, hierarchical
 and cooperative control, energy management systems, and optimization
 of microgrids and islanded minigrids.

Prof. Guerrero is an Associate Editor for the IEEE TRANSACTIONS ON POWER ELECTRONICS, IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS, IEEE Industrial Electronics Magazine, and an Editor for the IEEE TRANSACTIONS ON SMART GRID and IEEE TRANSACTIONS ON ENERGY CONVERSION. In 2014 and 2015, he received a Highly Cited Researcher Award by the Thomson Reuters.



Juan C. Vasquez (M'12–SM'14) received the B.S. degree in electronics engineering from UAM, Manizales, Colombia, and the Ph.D. degree from BarcelonaTech, Barcelona, Spain, in 2004 and 2009, respectively.

In 2011, he became an Assistant Professor in the Department of Energy Technology, Aalborg University, Aalborg East Denmark, where he is the co-responsible of the Microgrid Research Programme and, since 2014 has been an Associate Professor in the same department.

He has authored/coauthored more than 100 technical papers regarding microgrids in international conference proceedings and journals. His research interests include operation, advanced hierarchical and cooperative control, optimization, and energy management applied to distributed generation in ac and dc microgrids.

Dr. Vasquez is currently a Member of the IEC System Evaluation
 Group SEG4 on LVDC Distribution and Safety in Developed and Developing Economies and the Renewable Systems Technical Committee TC RES of the IEEE Industrial Electronics, IEEE Power Electronics, IEEE
 Industry Applications, and IEEE Power and Energy Societies.

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Tomislav Dragičević (S'09–M'13) received the M.E.E. and industrial Ph.D. degrees from the Faculty of Electrical Engineering, University of Zagreb, Zagreb, Croatia, in 2009 and 2013, respectively.

From 2013 until 2016, he was a Postdoctoral Research Associate at Aalborg University, Aalborg East, Denmark, where since March 2016, he has been an Associate Professor. His research interests include overall system design of autonomous and grid-connected dc and ac

microgrids, and industrial application of advanced modeling, control, and protection concepts to shipboard power systems, remote telecom stations, domestic and commercial facilities, and electric vehicle charging stations.

Dr. Dragičević is a Member of the IEEE Power Electronics and IEEE Power Systems Societies and has served on the Scientific Committee Boards of several IEEE conferences.



Čedomir Stefanović (S'04–M'11) received the 766 Dipl.-Ing., Mr.-Ing., and Ph.D. degrees in electrical engineering from the University of Novi Sad, 768 Novi Sad, Serbia. 769

He is currently an Associate Professor at the Department of Electronic Systems, Aalborg University, Aalborg East, Denmark. In 2014, he received an individual postdoctoral grant from the Danish Council for Independent Research (Det Frie Forskningsråd). His research interests include coding theory, communication theory, and

wireless communications.

Dr. Stefanović serves as a Reviewer for the IEEE COMMUNICATIONS 778 LETTERS, IEEE TRANSACTIONS ON COMMUNICATIONS, IEEE TRANSACTIONS 779 ON INFORMATION THEORY, IEEE TRANSACTIONS ON WIRELESS COMMUNI-CATIONS, IEEE WIRELESS COMMUNICATIONS LETTERS, and IEEE SENSORS 781 JOURNAL. He also served as a Technical Program Committee Member 782 for numerous conferences and workshops. 783

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Popovski (S'97–A'98–M'04–SM'10– Petar 785 F'16) received the Dipl.-Ing. degree in electrical 786 engineering and the Magister Ing. degree in 787 communication engineering from Sts. Cyril and 788 Methodius University, Skopje, Macedonia, in 789 1997 and 2000, respectively, and the Ph.D. 790 degree from Aalborg University, Aalborg East, 791 Denmark, in 2004. 792

He is currently a Professor of wireless communications at Aalborg University. His research interests include wireless communication and networking and communication/information theory. 793

Prof. Popovski received a Consolidator Grant from the European

Research Council and the Elite Researcher Award, 2016, in Denmark. He is the Editor for IEEE TRANSACTIONS ON COMMUNICATIONS. He served as the Chair of the IEEE ComSoc Emerging Technology Committee on Smart Grid Communications. He is a Steering Committee Member for the IEEE INTERNET OF THINGS JOURNAL and IEEE SmartGridComm. 800 801 802 803

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